

Dynamic Typing with Dependent Types

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Abstract. Dependent type systems allow programmers to specify and enforce rich data invariants. Consequently, they are important tools in global computing environments where users must certify and check deep properties of untrusted mobile programs. Unfortunately, programmers who use these languages are required to annotate their programs with many typing specifications to help guide the type checker. This paper shows how to make the process of programming with dependent types more palatable by defining a language in which programmers have fine-grained control over the trade-off between the number of dependent typing annotations they must place on programs and the degree of compile-time safety. More specifically, certain program fragments are marked *dependent*, in which case the programmer annotates them in detail and a dependent type checker verifies them at compile time. Other fragments are marked *simple*, where programmers can just put simply-typed code without any dependent annotations. To ensure safety, the compiler automatically inserts coercions when control passes in between dependent and simple fragments. These coercions are dynamic checks that make sure dependent constraints are not violated by the simply-typed fragment at run time. The language semantics are defined via a type-directed translation from a surface language that mixes dependently and simply typed code into an internal language that is completely dependently-typed. In the internal language all dynamic checks on dependent constraints are explicit. The translation always produces type-safe internal language code and the internal language type system is sound.

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1 Introduction

Dependent type systems are powerful tools that allow programmers to specify and enforce rich data invariants and guarantee that dangerous or unwanted program behaviors never happen. Consequently, dependently-typed programming languages are important tools in global computing environments where users must certify and check deep properties of mobile programs.

While the theory of dependent types has been studied for several decades, researchers have only recently begun to be able to integrate these rich specification mechanisms into modern programming languages. The major stumbling block in this enterprise is how to avoid a design in which programmers must place so many typing annotations on their programs that the dependent types become more trouble than they are worth. In other words, how do we avoid a situation in which programmers spend so much time writing specifications to guide the type checker that they cannot make any progress coding up the computation they wish to execute?

The main solution to this problem has been to explicitly avoid any attempt at full verification of program correctness and to instead focus on verification of safety properties in limited but important domains. Hence, Xi and Pfenning [11] and Zenger [12] have focused on integer reasoning to check the safety of array-based code and also on simple symbolic constraints for checking properties of data types. Similarly, in their language Vault, DeLine and Fahndrich [5] use a form of linear type together with dependency to verify properties of state and improve the robustness of Windows device drivers.

These projects have been very successful, but the annotations required by programming languages involving dependent types can still be a burden to programmers, particularly in functional languages, where programmers are accustomed to using complete type reconstruction algorithms. For instance, one set of benchmarks analyzed by Xi and Pfenning indicates that programmers can often expect that 10-20 percent of their code will be typing annotations¹.

In order to encourage programmers to use dependent specifications in their programs, we propose a language design and type system that allows programmers to add dependent specifications to program fragments bit by bit. More specifically, certain program components are marked *dependent*, in which case the type checker verifies statically that the programmer has properly maintained dependent typing annotations. Other portions of the program are marked *simple* and in these sections, programmers are free to write code as they would in any ordinary simply-typed programming language. When control passes between dependent and simple fragments, data flowing from simply-typed code into dependently-typed code is checked dynamically to ensure that the dependent invariants hold.

¹ Table 1 from Xi and Pfenning [11] shows ratios of total lines of type annotations/lines of code for eight array-based benchmarks to be 50/281, 2/33, 3/37, 10/50, 9/81, 40/200, 10/45 and 3/18.

This strategy allows programmers to employ a pay-as-you-go approach when it comes to using dependent types. For instance, when first prototyping their system, programmers may avoid dependent types since their invariants and code structure may be in greater flux at that time or they simply need to get the project off the ground as quickly as possible. Later, they may add dependent types piece by piece until they are satisfied with the level of static verification. More generally, our strategy allows programmers to achieve better compile-time safety assurance in a gradual and type-safe way.

The main contributions of our paper are the following: First, we formalize a source-level dependently-typed functional language with a syntax-directed type checking algorithm. The language admits programs that freely mix both dependently-typed and simply-typed program fragments.

Second, we formalize the procedure for inserting coercions between higher-order dependently-typed and simply-typed code sections and the generation of intermediate-language programs. In these intermediate-language programs, all dynamic checks are explicit and the code is completely dependently typed. We have proven that the translation always produces wellformed dependently-typed code. In other words, we formalize the first stage of a certifying compiler for our language. Our translation is also total under an admissibility requirement on the dependently-typed interface. Any simply-typed code fragment can be linked with a dependently-typed fragment that satisfies this requirement, and the compiler is able to insert sufficient coercions to guarantee safety at run-time.

Finally, we extend our system with references. We ensure that references and dependency interact safely and prove the correctness of the strategy for mixing simply-typed and dependently-typed code. Proof outlines for all our theorems can be found in Appendix B.

2 Language Syntax and Overview

At the core of our system is a dependently-typed lambda calculus with recursive functions, pairs and a set of pre-defined constant symbols. At a minimum, the constants must include booleans **true** and **false** as well as conjunction (\wedge), negation (\neg), and equality ($=$). We often use $\lambda x : \tau_1. e$ to denote the function **fix** $f(x : \tau_1) : \tau_2. e$ when f does not appear free in e and **let** $x = e_1$ **in** e to denote $(\lambda x : \tau. e) e_1$.²

$$\begin{aligned} \tau : : &= \tau_b \mid \Pi x : \tau. \tau \mid \tau \times \tau \mid \{x : \tau_b \mid e\} \\ e : : &= c \mid x \mid \mathbf{fix} \ f(x : \tau_1) : \tau_2. e \mid e e \\ &\mid \langle e, e \rangle \mid \pi_1 e \mid \pi_2 e \mid \mathbf{if} \ e \ \mathbf{then} \ e \ \mathbf{else} \ e \end{aligned}$$

The language of types includes a collection of base types (τ_b), which must include boolean type, but may also include other types (like integer) that are important for the application under consideration. Function types have the form $\Pi x : \tau_1. \tau_2$ and x , the function argument, may appear in τ_2 . If x does not appear in τ_2 , we

² The typing annotations τ_2 and τ are unnecessary in these cases.

abbreviate the function type as $\tau_1 \rightarrow \tau_2$. Note that unlike much recent work on dependent types for practical programming languages, here x is a valid run-time object rather than a purely compile-time index. The reason for this choice is that the compiler will need to generate run-time tests based on types. If the types contain constraints involving abstract compile-time only indices, generation of the run-time tests may be impossible.

To specify interesting properties of values programmers can use *set types* with the form $\{x : \tau_b \mid e\}$, where e is a boolean term involving x . Intuitively, the type contains all values v with base type τ_b such that $[v/x]e$ is equivalent to **true**. We use $\{e\}$ as a shorthand for the set type $\{x : \tau_b \mid e\}$ when x does not appear free in e . The *essential type* of τ , $\llbracket \tau \rrbracket$, is defined below.

$$\llbracket \{x : \tau_b \mid e\} \rrbracket = \tau_b \quad \llbracket \tau \rrbracket = \tau \quad (\tau \text{ is not a set type})$$

The type-checking algorithm for our language, like other dependently-typed languages, involves deciding equivalence of expressions that appear in types. Therefore, in order for our type system to be both sound and tractable, we cannot allow just any lambda calculus term to appear inside types. In particular, allowing recursive functions inside types makes equivalence decision undecidable, and allowing effectful operations such as access to mutable storage within types makes the type system unsound. To avoid these difficulties, we categorize a subset of the expressions as *pure terms*. For the purpose of this paper, we limit the pure terms to variables whose essential type is a base type, constants with simple type $\tau_{b_1} \rightarrow \dots \rightarrow \tau_{b_n}$, and application of pure terms to pure terms. Only a pure term can appear in a valid type. Note this effectively limits dependent functions to the form $\Pi x : \tau_1. \tau_2$ where $\llbracket \tau_1 \rrbracket = \tau_b$ ³. A pure term in our system is also a valid run-time expression, as opposed to a compile-time only object.

As an example of the basic elements of the language, consider the following typing context, which gives types to a collection of operations for manipulating integers (type **int**) and integer vectors (type **intvec**).

```

... -1, 0, 1, ... : int
+, -, * : int -> int -> int
<, <=  : int -> int -> bool
type nat = {x:int | 0 <= x}
length  : intvec -> nat
newvec  :  $\Pi n:\text{nat}.\{v:\text{intvec} \mid \text{length } v = n\}$ 
sub     :  $\Pi i:\text{nat}.\{v:\text{intvec} \mid i < \text{length } v\} \rightarrow \text{int}$ 

```

The **newvec** takes a natural number **n** and returns a new integer vector whose length is equal to **n**, as specified by the set type. The subscript operation **sub** takes two arguments: a natural number **i** and an integer vector, and returns the component of the vector at index **i**. Its type requires **i** must be within the vector's bound.

³ Non-dependent function $\tau_1 \rightarrow \tau_2$ can still have arbitrary domain type.

2.1 Simple and Dependent Typing

To allow programmers to control the precision of the type checker for the language, we add three special commands to the core language:

$$e ::= \dots \mid \mathbf{simple}\{e\} \mid \mathbf{dependent}\{e\} \mid \mathbf{assert}(e, \tau)$$

Informally, **simple** $\{e\}$ means expression e is only simply well-typed and there is no sufficient annotation for statically verifying all dependent constraints. The type checker must insert dynamic checks to ensure dependent constraints when control passes to a dependent section. For instance, suppose f is a variable that stands for a function defined in a dependently-typed section that requires its argument to have set type $\{x : \mathbf{int} \mid x \geq 0\}$. At application site **simple** $\{f e\}$ the type checker must verify e is an integer, but may not be able to verify that it is nonnegative. To guarantee run-time safety, the compiler automatically inserts a dynamic check for $e \geq 0$ when it cannot verify this fact statically. At higher types, these simple checks become more general coercions from data of one type to another.

On the other hand, **dependent** $\{e\}$ directs the type checker to verify e is well-typed taking all of the dependent constraints into consideration. If the type checker cannot verify all dependent constraints statically, it fails and alerts the user. We also provide a convenient utility function **assert** (e, τ) that checks at run time that expression e produces a value with type τ .

Together these commands allow users to tightly control the trade-off between the degree of compile-time guarantee and the ease of programming. The fewer **simple** or **assert** commands, the greater the compile-time guarantee, although the greater the burden to the programmer in terms of type annotations. Also, programmers have good control over where potential failures may happen — they can only occur inside a **simple** scope or at an **assert** expression.

For instance, consider the following function that computes dot-product:

```
simple{
  let dotprod =  $\lambda v1.\lambda v2.$  let f = fix loop n i sum
                    if (i = n) then sum
                    else loop n (i+1) (sum + (sub i v1) * (sub i v2))
                    in f (length v1) 0 0
  in dotprod vec1 vec2 }
```

Function **dotprod** takes two vectors as arguments and returns the sum of multiplication of corresponding components of the vectors. The entire function is defined within a **simple** scope so programmers need not add any typing annotations. However, the cost is that the type checker infers only that i is some integer and $v1$ and $v2$ are integer vectors. Without information concerning the length of the vectors and size of the integer, the checker cannot verify that the **sub** operations are in bound. As a result, the compiler will insert dynamic checks at these points.

As a matter of fact, without these checks the above program would crash if the length of **vec1** is greater than that of **vec2**! To prevent clients of the

`dotprod` function from calling it with such illegal arguments, a programmer can give `dotprod` a dependent type while leaving the body of the function simply-typed:

```
dependent {
  let dotprod = λv1:intvec, v2:{v2:intvec | length v1 = length v2}.
    simple { ... }
  in dotprod vec1 vec2 }
```

The advantage of adding this typing annotation is that the programmer has formally documented the condition for correct use of the `dotprod` function. Now the type checker has to prove that the length of `vec1` is equal to that of `vec2`. If this is not the case the error will be detected at compile time.

Even though the compiler can verify the function is called with valid arguments, it still needs to insert run-time checks for the vector accesses because they are inside a **simple** scope. To add an extra degree of compile-time confidence, the programmer can verify the function body by placing it completely in the **dependent** scope and adding the appropriate loop invariant annotation as shown below.

```
dependent {
  let dotprod = λv1:intvec, v2:{v2:intvec | length v1 = length v2}.
    let f = fix loop (n:{n:nat|n = length(v1)})
      (i:{i:nat|i <= n}) (sum:int).
      if (i = n) then sum
      else loop n (i+1) (sum + (sub i v1) * (sub i v2))
    in f (length v1) 0 0
  in dotprod vec1 vec2 }
```

With the new typing annotations and some simple integer arithmetic reasoning, our type checker can verify that all the dependent function applications within the function body are well-typed. Once the above code type checks, there can be no failure at run time.

3 Formal Language Semantics

We give a formal semantics to our language in two main steps. First, we define a type system for our internal dependently-typed language which contains no **dependent**{}, **simple**{}, or **assert** commands. Second, we simultaneously define a syntax-directed type system and translation from the surface programming language into the internal language. We have proven that the translation always generates well-typed internal language terms. Since the latter proof is constructive, our translation always generates expressions with sufficient information for an intermediate language type checker to verify type correctness.

3.1 Internal Language Typing

The judgment $\Gamma \vdash e : \tau$ presented in Fig 1 defines the type system for the internal language. The context Γ maps variables to types and \mathcal{F} maps constants to

$$\begin{array}{c}
\frac{\mathcal{F}(c) = \tau}{\Gamma \vdash c : \tau} \text{ TConst} \quad \frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \text{ TVar} \quad \frac{\Gamma \vdash \tau \text{ valid}}{\Gamma \vdash \mathbf{fail} : \tau} \text{ TFail} \\
\\
\frac{\Gamma \vdash \Pi x : \tau_1. \tau_2 \text{ valid} \quad \Gamma, f : \Pi x : \tau_1. \tau_2, x : \tau_1 \vdash e : \tau_2}{\Gamma \vdash \mathbf{fix} f(x : \tau_1) : \tau_2. e : \Pi x : \tau_1. \tau_2} \text{ TFun} \\
\\
\frac{\Gamma \vdash e_1 : \Pi x : \tau_1. \tau_2 \quad \Gamma \vdash_{\text{pure}} e_2}{\Gamma \vdash e_1 e_2 : [e_2/x]\tau_2} \text{ TAppPure} \quad \frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash e_1 e_2 : \tau_2} \text{ TAppImpure} \\
\\
\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2} \text{ TP} \quad \frac{\Gamma \vdash e : \tau_1 \times \tau_2}{\Gamma \vdash \pi_1 e : \tau_1} \text{ TPL} \quad \frac{\Gamma \vdash e : \tau_1 \times \tau_2}{\Gamma \vdash \pi_2 e : \tau_2} \text{ TPR} \\
\\
\frac{\Gamma \vdash_{\text{pure}} e : \mathbf{bool} \quad \Gamma, u : \{e\} \vdash e_1 : \tau \quad \Gamma, u : \{\neg e\} \vdash e_2 : \tau}{\Gamma \vdash \mathbf{if} e \text{ then } e_1 \text{ else } e_2 : \tau} \text{ TIIf} \\
\\
\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash_{\text{pure}} e}{\Gamma \vdash e : \mathbf{self}(\tau, e)} \text{ TSelf} \quad \frac{\Gamma \vdash e : \tau' \quad \Gamma \vdash \tau' \leq \tau}{\Gamma \vdash e : \tau} \text{ TSub}
\end{array}$$

Fig. 1. Type rules for the internal language

their types. Many of the rules are standard so we only highlight a few. First, the **fail** expression, which has not been mentioned before is used to safely terminate programs and may be given any type. Dependent function introduction is standard, but there are two elimination rules. In the first case, the function type may be dependent, so the argument must be a pure term (judged by $\Gamma \vdash_{\text{pure}} e$), since only pure terms may appear inside types. In the second case, the argument may be impure so the function must have non-dependent type. When type checking an **if** statement, the primary argument of the **if** must be a pure boolean term and this argument (or its negation) is added to the context when checking each branch⁴.

The type system has a *selfification* rule (*TSelf*), which is inspired by dependent type systems developed to reason about modules [7]. The rule applies a “selfification” function, which returns the most precise possible type for the term, its *singleton type*. For instance, though x might have type `int` in the context, `self(int, x)` produces the type $\{y : \mathbf{int} \mid y = x\}$, the type of values exactly equal to x . Also, the constant `+` might have type `int` \rightarrow `int`, but through selfification, it will be given the more precise type $\Pi x : \mathbf{int}. \Pi y : \mathbf{int}. \{z : \mathbf{int} \mid z = x + y\}$, the type of functions that add their arguments. Without selfification, the type system would be too weak to do any sophisticated reasoning about variables and values. The selfification function is defined below. Notice that the definition is only upon types that a pure term may have.

$$\begin{aligned}
\mathbf{self}(\tau_b, e) &= \{x : \tau_b \mid x = e\} \\
\mathbf{self}(\{x : \tau_b \mid e'\}, e) &= \{x : \tau_b \mid e' \wedge x = e\} \\
\mathbf{self}(\tau_b \rightarrow \tau, e) &= \Pi x : \tau_b. \mathbf{self}(\tau, ex)
\end{aligned}$$

⁴ $\Gamma \vdash_{\text{pure}} e : \tau$ is the same as $\Gamma \vdash_{\text{pure}} e$ except that it also returns the simple type of the pure term

Finally, the type system includes a notion of subtyping, which is where all reasoning about dependent constraints occur. Appendix A.3 gives the complete subtyping rules. The interesting case is the subtype relation between set types. As stated below, $\{x : \tau_b \mid e_1\}$ is a subtype of $\{x : \tau_b \mid e_2\}$ provided that $e_1 \supset e_2$ is **true** under assumptions in Γ . Term $e_1 \supset e_2$ stands for the implication between two boolean terms.

$$\frac{\Gamma \vdash \{x : \tau_b \mid e_1\} \text{ valid} \quad \Gamma \vdash \{x : \tau_b \mid e_2\} \text{ valid} \quad \Gamma, x : \tau_b \models e_1 \supset e_2}{\Gamma \vdash \{x : \tau_b \mid e_1\} \leq \{x : \tau_b \mid e_2\}}$$

$\Gamma \models e$ is a logical entailment judgment that infers truth about the application domains. For example it may infer that $n : \text{int} \models n \leq n + 1$. We do not want to limit our language to a particular set of application domains so we leave this judgment unspecified but it must obey the axioms of standard classical logic. A precise set of requirements on the logical entailment judgment may be found in Appendix B.1.

3.2 Surface Language Typing and Translation

We give a formal semantics to the surface language via a type-directed translation into the internal language. The translation has the form $\Gamma \vdash_w e \rightsquigarrow e' : \tau$ where e is a surface language expression and e' is the resulting internal language expression with type τ . w is a type checking mode which is either *dep* or *sim*. In mode *dep* every dependent constraint must be *statically* verified, whereas in mode *sim* if the type checker cannot infer dependent constraints statically it will generate dynamic checks. It is important to note that this judgment is a syntax-directed function with Γ , w and e as inputs and e' and τ uniquely determined outputs (if the translation succeeds). In other words, the rules in Figure 2 defines the type checking and translation algorithm for the surface language.

Constants and variables are given singleton types if they are pure (*ATConstSelf* and *ATVarSelf*) via the selfification function, but they are given less precise types otherwise (*ATConst* and *ATVar*). To translate a function definition (*ATFun*), the function body e is first translated into e' with type τ'_2 . Since this type may not match the annotated result type τ_2 , the *type coercion judgment* is called to coerce e' to τ_2 , possibly inserting run-time checks under *sim* mode.

The type coercion judgment has the form $\Gamma \vdash_w e : \tau \longrightarrow e' : \tau'$. It is a function, which given typing mode w , context Γ , expression e with type τ , and a target type τ' , generates a new expression e' with type τ' . The output expression is equivalent to the input expression aside from the possible presence of run-time checks. We will discuss the details of this judgment in a moment.

There are two function application rules, distinguished based on whether the argument expression is judged pure or not. If it is pure, rule *ATAppPure* applies and the argument expression is substituted into the result type. If the argument expression is impure, rule *ATAppImpure* first coerces the function expression that has a potentially dependent type $\Pi x : \tau_1. \tau_2$, to an expression that has a non-dependent function type $\tau_1 \rightarrow [\tau_2]_x$. $[\tau]_x$ returns the type with all occurrences of

$$\begin{array}{c}
\frac{\Gamma \vdash_{pure} c \quad \mathcal{F}(c) = \tau}{\Gamma \vdash_w c \rightsquigarrow c : \mathbf{self}(\tau, c)} \text{ATConstSelf} \quad \frac{\Gamma \not\vdash_{pure} c \quad \mathcal{F}(c) = \tau}{\Gamma \vdash_w c \rightsquigarrow c : \tau} \text{ATConst} \\
\\
\frac{\Gamma \vdash_{pure} x \quad \Gamma(x) = \tau}{\Gamma \vdash_w x \rightsquigarrow x : \mathbf{self}(\tau, x)} \text{ATVarSelf} \quad \frac{\Gamma \not\vdash_{pure} x \quad \Gamma(x) = \tau}{\Gamma \vdash_w x \rightsquigarrow x : \tau} \text{ATVar} \\
\\
\frac{\Gamma \vdash \Pi x : \tau_1. \tau_2 \text{ valid} \quad \Gamma' = \Gamma, f : \Pi x : \tau_1. \tau_2, x : \tau_1 \quad \Gamma' \vdash_w e \rightsquigarrow e' : \tau'_2 \quad \Gamma' \vdash_w e' : \tau'_2 \longrightarrow e'' : \tau_2}{\Gamma \vdash_w \mathbf{fix} f(x : \tau_1) : \tau_2. e \rightsquigarrow \mathbf{fix} f(x : \tau_1) : \tau_2. e'' : \Pi x : \tau_1. \tau_2} \text{ATFun} \\
\\
\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \Pi x : \tau_1. \tau_2 \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau'_1 \quad \Gamma \vdash_w e'_2 : \tau'_1 \longrightarrow e''_2 : \tau_1 \quad \Gamma \vdash_{pure} e''_2}{\Gamma \vdash_w e_1 e_2 \rightsquigarrow e'_1 e'_2 : [e''_2/x]\tau_2} \text{ATAppPure} \\
\\
\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \Pi x : \tau_1. \tau_2 \quad \Gamma \vdash_w e'_1 : \Pi x : \tau_1. \tau_2 \longrightarrow e''_1 : \tau_1 \rightarrow [\tau_2]_x \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau'_1 \quad \Gamma \vdash_w e'_2 : \tau'_1 \longrightarrow e''_2 : \tau_1 \quad \Gamma \not\vdash_{pure} e''_2}{\Gamma \vdash_w e_1 e_2 \rightsquigarrow e''_1 e''_2 : [\tau_2]_x} \text{ATAppImPure} \\
\\
\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \tau_1 \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2}{\Gamma \vdash_w \langle e_1, e_2 \rangle \rightsquigarrow \langle e'_1, e'_2 \rangle : \tau_1 \times \tau_2} \text{ATProd} \\
\\
\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau_1 \times \tau_2}{\Gamma \vdash_w \pi_1 e \rightsquigarrow \pi_1 e' : \tau_1} \text{ATProjL} \quad \frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau_1 \times \tau_2}{\Gamma \vdash_w \pi_2 e \rightsquigarrow \pi_2 e' : \tau_2} \text{ATProjR} \\
\\
\frac{\Gamma \vdash_{pure} e : \mathbf{bool} \quad \Gamma, u : \{e\} \vdash_w e_1 \rightsquigarrow e'_1 : \tau_1 \quad \Gamma, u : \{\neg e\} \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2 \quad \Gamma, u : \{e\} \vdash_w e'_1 : \tau_1 \longrightarrow e''_1 : \tau_1 \sqcup \tau_2 \quad \Gamma, u : \{\neg e\} \vdash_w e'_2 : \tau_2 \longrightarrow e''_2 : \tau_1 \sqcup \tau_2}{\Gamma \vdash_w \mathbf{if} e \text{ then } e_1 \text{ else } e_2 \rightsquigarrow \mathbf{if} e \text{ then } e'_1 \text{ else } e'_2 : \tau_1 \sqcup \tau_2} \text{ATIfPure} \\
\\
\frac{\Gamma \not\vdash_{pure} e \quad \Gamma \vdash_w \mathbf{let} x = e \text{ in if } x \text{ then } e_1 \text{ else } e_2 \rightsquigarrow e' : \tau}{\Gamma \vdash_w \mathbf{if} e \text{ then } e_1 \text{ else } e_2 \rightsquigarrow e' : \tau} \text{ATIfImPure} \\
\\
\frac{\Gamma \vdash_{dep} e \rightsquigarrow e' : \tau' \quad \Gamma \vdash \tau \text{ valid} \quad \Gamma \vdash_{sim} e' : \tau' \longrightarrow e'' : \tau}{\Gamma \vdash_{dep} \mathbf{assert}(e, \tau) \rightsquigarrow e'' : \tau} \text{ATAssert} \\
\\
\frac{\Gamma \vdash_{sim} e \rightsquigarrow e' : \tau}{\Gamma \vdash_{dep} \mathbf{simple}\{e\} \rightsquigarrow e' : \tau} \text{ATDynamic} \quad \frac{\Gamma \vdash_{dep} e \rightsquigarrow e' : \tau}{\Gamma \vdash_{sim} \mathbf{dependent}\{e\} \rightsquigarrow e' : \tau} \text{ATStatic}
\end{array}$$

Fig. 2. Surface language type checking and translation

$$\begin{array}{c}
\frac{\Gamma \vdash \tau \leq \tau'}{\Gamma \vdash_w e : \tau \longrightarrow e : \tau'} \text{ CSub} \\
\\
\frac{\tau = \tau_b \text{ or } \tau = \{x : \tau_b \mid e'_1\}}{\Gamma \vdash_{sim} e : \tau \longrightarrow \mathbf{let } x = e \mathbf{ in if } e_1 \mathbf{ then } x \mathbf{ else fail} : \{x : \tau_b \mid e_1\}} \text{ CBase} \\
\\
\frac{\Gamma \vdash \tau'_1 \leq \tau_1 \quad \Gamma, y : \Pi x : \tau_1.\tau_2, x : \tau'_1 \vdash_{sim} y x : \tau_2 \longrightarrow e_b : \tau'_2}{\Gamma \vdash_{sim} e : \Pi x : \tau_1.\tau_2 \longrightarrow (\mathbf{let } y = e \mathbf{ in } \lambda x : \tau'_1. e_b) : \Pi x : \tau'_1.\tau'_2} \text{ CFunCo} \\
\\
\frac{\Gamma \not\vdash \tau'_1 \leq \tau_1 \quad \Gamma, x : \tau'_1 \vdash_{sim} x : \tau'_1 \longrightarrow e_x : \tau_1 \quad \Gamma, y : \tau_1 \rightarrow \tau_2, x : \tau'_1 \vdash_{sim} y e_x : \tau_2 \longrightarrow e_b : \tau'_2}{\Gamma \vdash_{sim} e : (\tau_1 \rightarrow \tau_2) \longrightarrow (\mathbf{let } y = e \mathbf{ in } \lambda x : \tau'_1. e_b) : (\tau'_1 \rightarrow \tau'_2)} \text{ CFunContNonDep} \\
\\
\frac{\Gamma \not\vdash \tau'_1 \leq \tau_1 \quad \tau_1 = \{x : \tau_b \mid e_1\} \quad \tau'_1 = \{x : \tau_b \mid e'_1\} \text{ or } \tau_b \quad \Gamma, y : \Pi x : \tau_1.\tau_2, x : \tau'_1 \vdash_{sim} y x : \tau_2 \longrightarrow e_b : \tau'_2 \quad e'_b = \mathbf{if } e_1 \mathbf{ then } e_b \mathbf{ else fail}}{\Gamma \vdash_{sim} e : \Pi x : \tau_1.\tau_2 \longrightarrow (\mathbf{let } y = e \mathbf{ in } \lambda x : \tau'_1. e'_b) : \Pi x : \tau'_1.\tau'_2} \text{ CFunContDep} \\
\\
\frac{\Gamma, y : \tau_1 \times \tau_2 \vdash_{sim} \pi_1 y : \tau_1 \longrightarrow e'_1 : \tau'_1 \quad \Gamma, y : \tau_1 \times \tau_2 \vdash_{sim} \pi_2 y : \tau_2 \longrightarrow e'_2 : \tau'_2}{\Gamma \vdash_{sim} e : \tau_1 \times \tau_2 \longrightarrow (\mathbf{let } y = e \mathbf{ in } \langle e'_1, e'_2 \rangle) : \tau'_1 \times \tau'_2} \text{ CPair}
\end{array}$$

Fig. 3. Type coercion

variable x removed. It is defined on set types as follows and recursively defined according to the type structures for the other types.

$$\begin{aligned}
[\{y : \tau_b \mid e\}]_x &= \tau_b \quad (x \in FV(e)) \\
[\{y : \tau_b \mid e\}]_x &= \{y : \tau_b \mid e\} \quad (x \notin FV(e))
\end{aligned}$$

Note that in both application rules the argument expression's type τ'_1 may not match the function's argument type so it is coerced to an expression e''_2 with the right type.

In type checking the **if** expression, since the two branches may be given different types, rule *ATIfPure* finds a common type $\tau_1 \sqcup \tau_2$ and coerce the two branches to this type. Informally, $\tau_1 \sqcup \tau_2$ recursively applies disjunction operation on boolean expressions in set types that appear in covariant positions and applies conjunction operation on those on contravariant positions. For example, $\{x : \mathbf{int} \mid x < 3\} \sqcup \{x : \mathbf{int} \mid x > 10\} = \{x : \mathbf{int} \mid x < 3 \vee x > 10\}$. The precise definition for $\tau_1 \sqcup \tau_2$ can be found in Appendix A.5.

The rules for checking and translating **dependent** $\{e\}$ and **simple** $\{e\}$ expressions simply switch the type checking mode from *sim* to *dep* and vice versa. The rule for **assert** (e, τ) uses the type coercion judgment to coerce expression e to type τ . Note that the coercion is called with *sim* mode to allow insertion of run-time checks.

Type coercion judgment. The complete rules for the type coercion judgment can be found in Figure 3. When the source type is a subtype of the target type, no

conversion is necessary (*CSub*). The remaining coercion rules implicitly assume the subtype relation does not hold, hence dynamic checks must be inserted at appropriate places. Note that those rules require the checking mode be *sim*; when called with mode *dep* the coercion judgment is just the subtyping judgment and the type checker is designed to signal a compile-time error when it cannot statically prove the source is a subtype of the target.

Coercion for the base-type case (*CBase*) is straightforward. An `if` expression ensures that the invariant expressed by the target set type holds. Otherwise a runtime failure will occur. With the help of the logical entailment judgment, our type system is able to infer that the resulting `if` expression has the set type.

In general, one cannot directly check at run-time that a function’s code precisely obeys some behavioral specification expressed by a dependent type. What we can do is ensure that every time the function is called, the function’s argument meets the dependent type’s requirement, and its body produces a value that satisfies the promised result type. This strategy is sufficient for ensuring run-time safety. The coercion rules for functions are designed to coerce a function from one type to a function with another type, deferring checks on arguments and results until the function is called.

There are three coercion rules for function types. In all cases the expression that generates the function is evaluated first to preserve the order of effects. Next a new function is constructed with checks on argument and result inserted when necessary. In the case where the new argument type is a subtype of the old one (*CFunCo*), we only need to convert the function body to the appropriate result type. Otherwise checks must be inserted to make sure the argument has the type the old function expects. This can be done by recursively calling the coercion judgment on the argument x to convert it to a term e_x with type τ_1 . When the function’s type is not dependent (*CFunContNonDep*), it can receive e_x as an argument. But when it is a dependent function, it cannot receive e_x as an argument since e_x contains dynamic checks and is impure⁵. Consequently rule *CFunContDep* uses an `if` statement to directly check the constraint on the dependent argument x . This is possible because x must be a pure term and hence has a base type. If the check succeeds x is directly passed to the function. For all the three cases, our type system is able to prove the resulting expression has the target function type.

4 Mutable References

The addition of mutable references to our language presents a significant challenge. When sharing a reference between simple and dependent code, it is natural to wish to assign the reference a simple type in the simple code and a dependent type in the dependent code, for example `int ref` and `{x:int|x >= 0} ref`. Clearly, if we are to transfer references between simply-typed and dependently-typed portions of code and assign them relevant simple and dependent types,

⁵ We also cannot simply write `let z = e_x in yz` since the effects in e_x do not allow the type system to maintain the proper dependency between x and z in this case.

we will need to check some of the accesses to these references dynamically. To achieve soundness in the presence of function references, the placement of dynamic checks is guided by the following two principles: First, the recipient of a reference is responsible for writing data that maintains the invariants of the reference’s donor. Second, the recipient must protect itself by ensuring that data it reads indeed respects its own invariants.

For instance, consider transferring a reference with type $(\{x:\text{int} \mid x \geq 0\} \rightarrow \{x:\text{int} \mid x \geq 0\})$ **ref** to simply-typed code where it takes on the type $(\text{int} \rightarrow \text{int})$ **ref**. Since $(\text{int} \rightarrow \text{int})$ is neither a subtype nor a supertype of $\{x:\text{int} \mid x \geq 0\} \rightarrow \{x:\text{int} \mid x \geq 0\}$, safety requires that the simply-typed code coerce any function it reads out of the reference to the type $\text{int} \rightarrow \text{int}$ and likewise, it must coerce any value it writes to the type $\{x:\text{int} \mid x \geq 0\} \rightarrow \{x:\text{int} \mid x \geq 0\}$.

To accommodate these ideas within our surface language, we introduce *dynamic references* with type τ **dref**. One reference with type τ **dref** can be coerced into another reference with type τ' **dref** whenever the simple type of τ and τ' coincide. Upon reading from or writing to such references, dynamic checks ensure the appropriate invariants are maintained.

While read and write checks can guarantee soundness for references passed between dependent and simple code, they come at a cost: potential failure upon read and write even in dependent sections of code. Therefore, to restore programmer control over failures, we allow programmers to use ordinary references with type τ **ref**. Attempted access to ordinary references never fails, and values with type τ **ref** can be coerced into values with type τ' **dref** whenever τ and τ' ’s simple types coincide, but not the other way around. With these two kinds of references, programmers can write failure-free dependently-typed code and transfer references between dependently and simply typed code.

In order to use references, we extend the syntax of the surface language with references (Figure 4). $!_d$ and $:=_d$ are read and write operators for dynamic references. The **new** τ **ref** e command always creates an ordinary reference, which may later be coerced to a dynamic reference. The internal language implements the dynamic reference in terms of a pair of function closures, one to read an underlying reference and coerce the value to the right type; the other to do a coercion and then write the coerced value into the underlying reference. Consequently, the internal language only contains ordinary invariant references, which have completely standard typing rules. Formally, we define a translation on types $(\lfloor \tau \rfloor)$, which translates τ **dref** as shown in Figure 4, and translates the other types recursively according to their structure. The corresponding translation of the surface language terms into the internal language terms is also presented in Figure 4.

We proved type safety for the internal language based on a standard dynamic semantics with mutable references:

Theorem 1 (Type safety).

If $\bullet \vdash e : \tau$, then e won’t get stuck in evaluation.

Extended Syntax

$e ::= \dots \mid \mathbf{new} \ \tau \ \mathbf{ref} \ e \mid !e \mid e := e \mid !_d e \mid e :=_d e$

$(\tau) = \tau'$

$(\tau \ \mathbf{dref}) = (\mathbf{unit} \rightarrow (\tau)) \times ((\tau) \rightarrow \mathbf{unit})$

$\Gamma \vdash_w e : \tau \longrightarrow e' : \tau'$

$$\frac{\begin{array}{l} \Gamma, x:\tau \vdash_{sim} x : \tau \longrightarrow e_r : \tau' \\ \Gamma, x:\tau' \vdash_{sim} x : \tau' \longrightarrow e_w : \tau \\ (f_r = \lambda x : \tau. e_r \quad f_w = \lambda x : \tau'. e_w) \end{array}}{\Gamma \vdash_{sim} e : \tau \ \mathbf{ref} \longrightarrow \mathbf{PackDRef}(e, \tau, \tau', f_r, f_w) : \tau' \ \mathbf{dref}} \text{CPackDRef}$$

$$\frac{\begin{array}{l} \Gamma, x:\tau \vdash_{sim} x : \tau \longrightarrow e_r : \tau' \\ \Gamma, x:\tau' \vdash_{sim} x : \tau' \longrightarrow e_w : \tau \\ (f_r = \lambda x : \tau. e_r \quad f_w = \lambda x : \tau'. e_w) \end{array}}{\Gamma \vdash_{sim} e : \tau \ \mathbf{dref} \longrightarrow \mathbf{RepackDRef}(e, \tau, \tau', f_r, f_w) : \tau' \ \mathbf{dref}} \text{CRePackDRef}$$

$\mathbf{PackDRef}(e, \tau, \tau', f_r, f_w) \equiv$ $\mathbf{let} \ r = e \ \mathbf{in}$ $\mathbf{let} \ f'_r = \lambda x : \mathbf{unit}. f_r !r \ \mathbf{in}$ $\mathbf{let} \ f'_w = \lambda x : \tau'. r := (f_w x) \ \mathbf{in}$ $\langle f'_r, f'_w \rangle$	$\mathbf{RepackDRef}(e, \tau, \tau', f_r, f_w) \equiv$ $\mathbf{let} \ r = e \ \mathbf{in}$ $\mathbf{let} \ f'_r = \pi_1 r \ \mathbf{in}$ $\mathbf{let} \ f''_r = \lambda x : \mathbf{unit}. f_r (f'_r x) \ \mathbf{in}$ $\mathbf{let} \ f'_w = \pi_2 r \ \mathbf{in}$ $\mathbf{let} \ f''_w = \lambda x : \tau'. f'_w (f_w x) \ \mathbf{in}$ $\langle f''_r, f''_w \rangle$
--	--

$\Gamma \vdash_w e \rightsquigarrow e' : \tau$

$$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau' \quad \Gamma \vdash \tau \ \mathbf{valid} \quad \Gamma \vdash_w e' : \tau' \longrightarrow e'' : \tau}{\Gamma \vdash_w \mathbf{new} \ \tau \ \mathbf{ref} \ e \rightsquigarrow \mathbf{new} \ \tau \ \mathbf{ref} \ e'' : \tau \ \mathbf{ref}} \text{ATNew}$$

$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau \ \mathbf{ref}}{\Gamma \vdash_w !e \rightsquigarrow !e' : \tau} \text{ATGet}$	$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau' \quad (\tau' = \tau \ \mathbf{ref} \ \text{or} \ \tau \ \mathbf{dref}) \quad \Gamma \vdash_w e' : \tau' \longrightarrow e'' : \tau \ \mathbf{dref}}{\Gamma \vdash_w !_d e \rightsquigarrow (\pi_1 e'') () : \tau} \text{ATDGet}$
---	---

$\frac{\begin{array}{l} \Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \tau_1 \ \mathbf{ref} \\ \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2 \\ \Gamma \vdash_w e'_2 : \tau_2 \longrightarrow e''_2 : \tau_1 \end{array}}{\Gamma \vdash_w e_1 := e_2 \rightsquigarrow e'_1 := e''_2 : \mathbf{unit}} \text{ATSet}$	$\frac{\begin{array}{l} \Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \tau'_1 \quad (\tau'_1 = \tau_1 \ \mathbf{ref} \ \text{or} \ \tau_1 \ \mathbf{dref}) \\ \Gamma \vdash_w e'_1 : \tau'_1 \longrightarrow e''_1 : \tau_1 \ \mathbf{dref} \\ \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2 \\ \Gamma \vdash_w e'_2 : \tau_2 \longrightarrow e''_2 : \tau_1 \end{array}}{\Gamma \vdash_w e_1 :=_d e_2 \rightsquigarrow (\pi_2 e''_1) e''_2 : \mathbf{unit}} \text{ATDSet}$
---	--

Fig. 4. References: Syntax and Translations

The proof is by induction on the length of execution sequence, using progress and preservation theorems formalized and proved in Appendix B.2.

The soundness of the type-directed translation for the surface language is formalized as the following theorem.

Theorem 2 (Soundness of translation of surface language).

If $\Gamma \vdash_w e \rightsquigarrow e' : \tau$, then $\langle\!\langle\Gamma\rangle\!\rangle \vdash \langle\!\langle e'\rangle\!\rangle : \langle\!\langle\tau\rangle\!\rangle$.

$\langle\!\langle e \rangle\!\rangle$ is the expression with every type τ appearing in it replaced by $\langle\!\langle\tau\rangle\!\rangle$, and $\forall x \in \text{dom}(\Gamma). \langle\!\langle\Gamma\rangle\!\rangle(x) = \langle\!\langle\Gamma(x)\rangle\!\rangle$.

For all source programs that are simply well-typed (judged by $\Gamma \vdash_0 e : \tau$), if the dependent interface Γ satisfies an admissibility requirement $\text{co_ref}(\Gamma)$, the translation is total in *sim* mode:

Theorem 3 (Completeness of translation).

Assuming $\text{co_ref}(\Gamma)$ and $\text{co_ref}(\mathcal{F})$, if $\Gamma \vdash_0 e : \tau$, then there exist e' and τ' such that $\Gamma \vdash_{\text{sim}} e \rightsquigarrow e' : \tau'$.

Informally, $\text{co_ref}(\Gamma)$ states that in Γ , unchecked reference type (τ **ref**) can only appear in covariant positions. Details of the proof can be found in Appendix B.4.

5 Related Work

In this paper, we have shown how to include fragments of *simply-typed* code within the context of a *dependently-typed* language. In the past, many researchers have examined techniques for including *uni-typed* code (code with one type such as Scheme code) within the context of a *simply-typed* language by means of soft typing [3, 2, 4]. Soft typing infers simple or polymorphic types for programs but not general dependent types.

Necula et al. [8] have developed a soft typing system for C, with the goal of ensuring that C programs do not contain memory errors. Necula et al. focus on the problem of inferring the status of C pointers in the presence of casts and pointer arithmetic, which he infers are either *safe* (well-typed and requiring no checks), *seq* (well-typed and requiring bounds checking) or *dynamic* (about which nothing is known). In contrast, we always know the simple type of an object that is pointed to, but may not know about its dependent refinements.

Walker [10] shows how to compile a simply-typed lambda calculus into a dependently-typed intermediate language that enforces safety policies specified by simple state machines. However, he does not consider mixing a generally dependently-typed language with a simply-typed language or problems concerning mutable references.

In earlier work, Abadi et al. [1] showed how to add a special *type dynamic* to represent values of completely unknown type and a typecase operation to the simply-typed lambda calculus. Abadi et al. use type dynamic when the simple static type of data is unknown, such as when accessing objects from persistent storage or exchanging data with other programs. Thatte [9] demonstrates how to relieve the programmer from having to explicitly write Abadi et al.’s typecase

operations themselves by having the compiler automatically insert them as we do. In contrast to our work, Thatte does not consider dependent types or how to instrument programs with mutable references.

In contract checking systems such as Findler and Felleisen's work [6], programmers can place assertions at well-defined program points, such as procedure entries and exits. Findler and Felleisen have specifically looked at how to enforce properties of higher-order code dynamically by wrapping functions to verify function inputs conform to function expectations and function outputs satisfy promised invariants. Our strategy for handling higher-order code is similar. However, Findler and Felleisen's contracts enforce all predicates dynamically whereas we show how to blend dynamic mechanism with static verification.

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A Language Summary

A.1 Program Syntax

Internal language:

<i>types</i>	$\tau ::= \tau_b \mid \{x : \tau_b \mid e\} \mid \Pi x : \tau. \tau \mid \tau \times \tau \mid \tau \text{ ref}$
<i>expressions</i>	$e ::= c \mid x \mid \mathbf{fix} \ f(x : \tau_1) : \tau_2. e \mid e e$ $\quad \mid \langle e, e \rangle \mid \pi_1 e \mid \pi_2 e \mid \mathbf{if} \ e \ \mathbf{then} \ e \ \mathbf{else} \ e$ $\quad \mid \mathbf{new} \ \tau \ \mathbf{ref} \ e \mid !e \mid e := e \mid \mathbf{fail}$

Surface language:

<i>types</i>	$\tau ::= \tau_b \mid \{x : \tau_b \mid e\} \mid \Pi x : \tau. \tau \mid \tau \times \tau \mid \tau \text{ ref} \mid \tau \text{ dref}$
<i>expressions</i>	$e ::= c \mid x \mid \mathbf{fix} \ f(x : \tau_1) : \tau_2. e \mid e e$ $\quad \mid \langle e, e \rangle \mid \pi_1 e \mid \pi_2 e \mid \mathbf{if} \ e \ \mathbf{then} \ e \ \mathbf{else} \ e$ $\quad \mid \mathbf{new} \ \tau \ \mathbf{ref} \ e \mid !e \mid e := e \mid !_d e \mid e :=_d e$ $\quad \mid \mathbf{assert}(e, \tau) \mid \mathbf{simple}\{e\} \mid \mathbf{dependent}\{e\}$

A.2 Constant symbols

The language is parameterized with an abstract collection of constant symbols and operators, that are described by a triple $(\mathcal{T}, \mathcal{F}, \mathcal{I})$: \mathcal{T} is a collection of type constants; \mathcal{F} maps from symbols to their types; an implementation function \mathcal{I} that describes how symbols with function type evaluate. We have the following requirements on $(\mathcal{T}, \mathcal{F}, \mathcal{I})$.

1. $\mathbf{bool} \in \mathcal{T}$; \mathbf{true} and \mathbf{false} are the only symbols c such that $\mathcal{F}(c) = \mathbf{bool}$; \neg , \wedge and \supset are the standard logical symbols in \mathcal{F} .
2. $\mathbf{unit} \in \mathcal{T}$ and symbol $()$ is of type \mathbf{unit} .
3. For any $c \in \mathcal{C}$, $\mathcal{F}(c)$ is either τ_b , or $\Pi x : \tau_1. \tau_2$; and $\bullet \vdash \mathcal{F}(c)$ valid.
4. For all $c \in \text{dom}(\mathcal{F})$ and value v , if $\Psi; \bullet \vdash c v : \tau$, then $\mathcal{I}(c, v)$ is defined and $\Psi; \bullet \vdash \mathcal{I}(c, v) : \tau$.

A.3 Static Semantics

$\llbracket \tau \rrbracket$	$\llbracket \{x : \tau_b \mid e\} \rrbracket = \tau_b \quad \llbracket \tau \rrbracket = \tau \quad (\tau \text{ is not a set type})$
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$\mathbf{self}(\tau, e)$	$\mathbf{self}(\tau_b, e) = \{x : \tau_b \mid x = e\}$ $\mathbf{self}(\{x : \tau_b \mid e'\}, e) = \{x : \tau_b \mid e' \wedge x = e\}$ $\mathbf{self}(\tau_b \rightarrow \tau, e) = \Pi x : \tau_b. \mathbf{self}(\tau, e x)$
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$\Gamma \vdash_{\text{pure}} e : \tau$
--

$\frac{\mathcal{F}(c) = \tau \quad \tau = \tau_{b1} \rightarrow \dots \rightarrow \tau_{bn}}{\Gamma \vdash_{\text{pure}} c : \tau} \text{ PConst}$	$\frac{\llbracket \Gamma(x) \rrbracket = \tau_b}{\Gamma \vdash_{\text{pure}} x : \tau_b} \text{ PVar}$
--	--

$\frac{\Gamma \vdash_{\text{pure}} e_1 : \tau_b \rightarrow \tau_2 \quad \Gamma \vdash_{\text{pure}} e_2 : \tau_b}{\Gamma \vdash_{\text{pure}} e_1 e_2 : \tau_2} \text{ PApp}$
--

$\Gamma \vdash_{\text{pure}} e$ is an abbreviation of $\Gamma \vdash_{\text{pure}} e : \tau$ for some τ
--

$\Gamma \vdash \tau$ valid

$$\frac{\tau_b \in \mathcal{T}}{\Gamma \vdash \tau_b \text{ valid}} \text{VBase} \quad \frac{\Gamma, x : \tau_b \vdash_{\text{pure}} e : \mathbf{bool}}{\Gamma \vdash \{x : \tau_b \mid e\} \text{ valid}} \text{VSet}$$

$$\frac{\Gamma, x : \tau_1 \vdash \tau_2 \text{ valid}}{\Gamma \vdash \Pi x : \tau_1. \tau_2 \text{ valid}} \text{VFun} \quad \frac{\Gamma \vdash \tau_1 \text{ valid} \quad \Gamma \vdash \tau_2 \text{ valid}}{\Gamma \vdash \tau_1 \times \tau_2 \text{ valid}} \text{VPair}$$

$$\frac{\Gamma \vdash \tau \text{ valid}}{\Gamma \vdash \tau \mathbf{ref} \text{ valid}} \text{VRef} \quad \frac{\Gamma \vdash \tau \text{ valid}}{\Gamma \vdash \tau \mathbf{dref} \text{ valid}} \text{VDRef}$$

$\Gamma \vdash \tau \leq \tau'$

$$\frac{}{\Gamma \vdash \tau_b \leq \tau_b} \text{SBase}$$

$$\frac{\Gamma \vdash \{x : \tau_b \mid e_1\} \text{ valid} \quad \Gamma \vdash \{x : \tau_b \mid e_2\} \text{ valid} \quad \Gamma, x : \tau_b \models e_1 \supset e_2}{\Gamma \vdash \{x : \tau_b \mid e_1\} \leq \{x : \tau_b \mid e_2\}} \text{SSet}$$

$$\frac{\Gamma \vdash \{x : \tau_b \mid e\} \text{ valid}}{\Gamma \vdash \{x : \tau_b \mid e\} \leq \tau_b} \text{SSetBase}$$

$$\frac{\Gamma \vdash \{x : \tau_b \mid e\} \text{ valid} \quad \Gamma, x : \tau_b \models e}{\Gamma \vdash \tau_b \leq \{x : \tau_b \mid e\}} \text{SBaseSet}$$

$$\frac{\Gamma \vdash \tau'_1 \leq \tau_1 \quad \Gamma, x : \tau'_1 \vdash \tau_2 \leq \tau'_2}{\Gamma \vdash \Pi x : \tau_1. \tau_2 \leq \Pi x : \tau'_1. \tau'_2} \text{SFun}$$

$$\frac{\Gamma \vdash \tau_1 \leq \tau'_1 \quad \Gamma \vdash \tau_2 \leq \tau'_2}{\Gamma \vdash \tau_1 \times \tau_2 \leq \tau'_1 \times \tau'_2} \text{SPair}$$

$$\frac{\Gamma \vdash \tau \leq \tau' \quad \Gamma \vdash \tau' \leq \tau}{\Gamma \vdash \tau \mathbf{ref} \leq \tau' \mathbf{ref}} \text{SRef} \quad \frac{\Gamma \vdash \tau \leq \tau' \quad \Gamma \vdash \tau' \leq \tau}{\Gamma \vdash \tau \mathbf{dref} \leq \tau' \mathbf{dref}} \text{SDRef}$$

$\Psi; \Gamma \vdash e : \tau$

$$\frac{\mathcal{F}(c) = \tau}{\Psi; \Gamma \vdash c : \tau} \text{TConst} \quad \frac{\Gamma(x) = \tau}{\Psi; \Gamma \vdash x : \tau} \text{TVar} \quad \frac{\Gamma \vdash \tau \text{ valid}}{\Psi; \Gamma \vdash \mathbf{fail} : \tau} \text{TFail}$$

$$\frac{\Gamma \vdash \Pi x : \tau_1. \tau_2 \text{ valid} \quad \Psi; \Gamma, f : \Pi x : \tau_1. \tau_2, x : \tau_1 \vdash e : \tau_2}{\Psi; \Gamma \vdash \mathbf{fix} f(x : \tau_1) : \tau_2. e : \Pi x : \tau_1. \tau_2} \text{TFun}$$

$$\frac{\Psi; \Gamma \vdash e_1 : \Pi x : \tau_1. \tau_2 \quad \Psi; \Gamma \vdash e_2 : \tau_1 \quad \Gamma \vdash_{\text{pure}} e_2}{\Psi; \Gamma \vdash e_1 e_2 : [e_2/x]\tau_2} \text{TAppPure}$$

$$\frac{\Psi; \Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Psi; \Gamma \vdash e_2 : \tau_1}{\Psi; \Gamma \vdash e_1 e_2 : \tau_2} \text{TAppImpure}$$

$$\begin{array}{c}
\frac{\Psi; \Gamma \vdash e_1 : \tau_1 \quad \Psi; \Gamma \vdash e_2 : \tau_2}{\Psi; \Gamma \vdash (e_1, e_2) : \tau_1 \times \tau_2} \text{TProd} \\
\\
\frac{\Psi; \Gamma \vdash e : \tau_1 \times \tau_2}{\Psi; \Gamma \vdash \pi_1 e : \tau_1} \text{TProjL} \quad \frac{\Psi; \Gamma \vdash e : \tau_1 \times \tau_2}{\Psi; \Gamma \vdash \pi_2 e : \tau_2} \text{TProjR} \\
\\
\frac{\Gamma \vdash_{\text{pure}} e : \mathbf{bool} \quad \Psi; \Gamma, u : \{e\} \vdash e_1 : \tau \quad \Psi; \Gamma, u : \{\neg e\} \vdash e_2 : \tau}{\Psi; \Gamma \vdash \mathbf{if } e \mathbf{ then } e_1 \mathbf{ else } e_2 : \tau} \text{TIf} \\
\\
\frac{\Psi(\ell) = \tau}{\Psi; \Gamma \vdash \ell : \tau \mathbf{ref}} \text{TLbl} \quad \frac{\Psi; \Gamma \vdash e : \tau}{\Psi; \Gamma \vdash \mathbf{new } \tau \mathbf{ref } e : \tau \mathbf{ref}} \text{TNew} \\
\\
\frac{\Psi; \Gamma \vdash e : \tau \mathbf{ref}}{\Psi; \Gamma \vdash !e : \tau} \text{TGet} \quad \frac{\Psi; \Gamma \vdash e : \tau \mathbf{ref} \quad \Psi; \Gamma \vdash e' : \tau}{\Psi; \Gamma \vdash e := e' : \mathbf{unit}} \text{TSet} \\
\\
\frac{\Psi; \Gamma \vdash e : \tau \quad \Gamma \vdash_{\text{pure}} e}{\Psi; \Gamma \vdash e : \mathbf{self}(\tau, e)} \text{TSelf} \quad \frac{\Psi; \Gamma \vdash e : \tau' \quad \Gamma \vdash \tau' \leq \tau}{\Psi; \Gamma \vdash e : \tau} \text{TSub}
\end{array}$$

$M : \Psi$

$$\frac{\text{dom}(M) = \text{dom}(\Psi) \quad \forall l \in \text{dom}(M). \Psi; \bullet \vdash M(l) : \Psi(l)}{M : \Psi}$$

$(M, e) \text{ ok}$

$$\frac{M : \Psi \quad \Psi; \bullet \vdash e : \tau}{(M, e) \text{ ok}}$$

A.4 Dynamic Semantics

A machine state is a tuple (M, e) . M is a mapping from label l to values. And e is the expression to be evaluated. When $l \notin \text{dom}(M)$, $M \triangleright (l \rightarrow v)$ denotes the extended mapping that maps l to v and every label $l' \in \text{dom}(M)$ to $M(l')$.

$$\begin{array}{ll}
\text{values} & v ::= c \ (c \in \mathcal{C}) \mid \mathbf{fix } f(x : \tau_1) : \tau_2. e \mid \langle v_1, v_2 \rangle \mid l \\
\text{evaluation contexts} & E ::= \square e \mid v \square \mid \langle \square, e \rangle \mid \langle v, \square \rangle \mid \pi_1 \square \mid \pi_2 \square \\
& \mid \mathbf{if } \square \mathbf{ then } e \mathbf{ else } e \\
& \mid \mathbf{new } \tau \mathbf{ref } \square \mid !\square \mid \square := e \mid v := \square
\end{array}$$

$$\begin{aligned}
(M, E(e)) &\mapsto (M', E(e')), \text{ if } (M, e) \mapsto (M', e') \\
(M, cv) &\mapsto (M, \mathcal{I}(c, v)), \quad \text{when } \mathcal{I}(c, v) \text{ is defined.} \\
(M, (\mathbf{fix } f(x : \tau_1) : \tau_2.e) v) &\mapsto (M, [\mathbf{fix } f(x : \tau_1) : \tau_2.e/f, v/x]e) \\
(M, \pi_1(v_1, v_2)) &\mapsto (M, v_1) \\
(M, \pi_2(v_1, v_2)) &\mapsto (M, v_2) \\
(M, \mathbf{if true then } e_1 \mathbf{ else } e_2) &\mapsto (M, e_1) \\
(M, \mathbf{if false then } e_1 \mathbf{ else } e_2) &\mapsto (M, e_2) \\
(M, \mathbf{new } \tau \mathbf{ ref } v) &\mapsto (M \triangleright (l \rightarrow v), l), \quad l \notin \text{dom}(M) \\
(M, !l) &\mapsto (M, M(l)), \quad l \in \text{dom}(M) \\
(M, l := v) &\mapsto (M\{l \rightarrow v\}, ()), \quad l \in \text{dom}(M) \\
(M, E(\mathbf{fail})) &\mapsto (M, \mathbf{fail})
\end{aligned}$$

A.5 Surface language type checking and translation

$[\tau]_x$

$$\begin{aligned}
[\tau_b]_x &= \tau_b \\
[\{y : \tau_b \mid e\}]_x &= \begin{cases} \tau_b & \text{if } x \in \text{FV}(e) \\ \{y : \tau_b \mid e\} & x \notin \text{FV}(e) \end{cases} \\
[IIy : \tau_1.\tau_2]_x &= IIy : [\tau_1]_x.[\tau_2]_x \\
[\tau_1 \times \tau_2]_x &= [\tau_1]_x \times [\tau_2]_x \\
[\tau \mathbf{ref}]_x &= \begin{cases} [\tau]_x \mathbf{dref} & \text{if } x \in \text{FV}(\tau) \\ \tau \mathbf{ref} & x \notin \text{FV}(e) \end{cases} \\
[\tau \mathbf{dref}]_x &= [\tau]_x \mathbf{dref}
\end{aligned}$$

$[\tau]$ Simplified type of τ

$$\begin{aligned}
[\tau_b] &= \tau_b & [\{x : \tau_b \mid e\}] &= \tau_b \\
[IIx : \tau_1.\tau_2] &= [\tau_1] \rightarrow [\tau_2] & [\tau_1 \times \tau_2] &= [\tau_1] \times [\tau_2] \\
[\tau \mathbf{ref}] &= [\tau] \mathbf{dref} & [\tau \mathbf{dref}] &= [\tau] \mathbf{dref}
\end{aligned}$$

$\tau_1 \sqcup \tau_2$ and $\tau_1 \sqcap \tau_2$

Let $\diamond = \sqcup$ or \sqcap ,

Let $\tilde{\sqcup} = \sqcap$, $\tilde{\sqcap} = \sqcup$ and $\{\sqcup\} = \vee$, $\{\sqcap\} = \wedge$

$$\begin{aligned}
\{x : \tau_b \mid e_1\} \diamond \{x : \tau_b \mid e_2\} &= \{x : \tau_b \mid e_1 \{\diamond\} e_2\} \\
\tau_b \diamond \tau &= \{x : \tau_b \mid \mathbf{true}\} \diamond \tau & \tau \diamond \tau_b &= \tau \diamond \{x : \tau_b \mid \mathbf{true}\} \\
(IIx : \tau_1.\tau_2) \diamond (IIx : \tau'_1.\tau'_2) &= IIx : (\tau_1 \diamond \tau'_1).(\tau_2 \diamond \tau'_2) \\
(\tau_1 \times \tau_2) \diamond (\tau'_1 \times \tau'_2) &= (\tau_1 \diamond \tau'_1) \times (\tau_2 \diamond \tau'_2) \\
(\tau \mathbf{ref}) \diamond (\tau \mathbf{ref}) &= \tau \mathbf{ref} \\
(\tau_1 \rho) \diamond (\tau_2 \rho) &= [\tau_1] \mathbf{dref} \quad (\rho = \mathbf{ref} \text{ or } \mathbf{dref})
\end{aligned}$$

$$\boxed{\Gamma \vdash_w e : \tau \longrightarrow e' : \tau'}$$

$$\frac{\Gamma \vdash \tau \leq \tau'}{\Gamma \vdash_w e : \tau \longrightarrow e : \tau'} \text{ CSub}$$

$$\frac{\tau = \tau_b \text{ or } \tau = \{x : \tau_b \mid e_1\}}{\Gamma \vdash_{sim} e : \tau \longrightarrow \mathbf{let } x = e \text{ in if } e_1 \text{ then } x \text{ else fail} : \{x : \tau_b \mid e_1\}} \text{ CBase}$$

$$\frac{\Gamma \vdash \tau'_1 \leq \tau_1 \quad \Gamma, y : \Pi x : \tau_1. \tau_2, x : \tau'_1 \vdash_{sim} y x : \tau_2 \longrightarrow e_b : \tau'_2}{\Gamma \vdash_{sim} e : \Pi x : \tau_1. \tau_2 \longrightarrow (\mathbf{let } y = e \text{ in } \lambda x : \tau'_1. e_b) : \Pi x : \tau'_1. \tau'_2} \text{ CFunCo}$$

$$\frac{\Gamma \not\vdash \tau'_1 \leq \tau_1 \quad \Gamma, x : \tau'_1 \vdash_{sim} x : \tau'_1 \longrightarrow e_x : \tau_1 \quad \Gamma, y : \tau_1 \rightarrow \tau_2, x : \tau'_1 \vdash_{sim} y e_x : \tau_2 \longrightarrow e_b : \tau'_2}{\Gamma \vdash_{sim} e : (\tau_1 \rightarrow \tau_2) \longrightarrow (\mathbf{let } y = e \text{ in } \lambda x : \tau'_1. e_b) : (\tau'_1 \rightarrow \tau'_2)} \text{ CFunContNonDep}$$

$$\frac{\Gamma \not\vdash \tau'_1 \leq \tau_1 \quad \tau_1 = \{x : \tau_b \mid e_1\} \quad \tau'_1 = \{x : \tau_b \mid e'_1\} \text{ or } \tau_b \quad \Gamma, y : \Pi x : \tau_1. \tau_2, x : \tau_1 \vdash_{sim} y x : \tau_2 \longrightarrow e_b : \tau'_2 \quad e'_b = \mathbf{if } e_1 \text{ then } e_b \text{ else fail}}{\Gamma \vdash_{sim} e : \Pi x : \tau_1. \tau_2 \longrightarrow (\mathbf{let } y = e \text{ in } \lambda x : \tau'_1. e'_b) : \Pi x : \tau'_1. \tau'_2} \text{ CFunContDep}$$

$$\frac{\Gamma, y : \tau_1 \times \tau_2 \vdash_{sim} \pi_1 y : \tau_1 \longrightarrow e'_1 : \tau'_1 \quad \Gamma, y : \tau_1 \times \tau_2 \vdash_{sim} \pi_2 y : \tau_2 \longrightarrow e'_2 : \tau'_2}{\Gamma \vdash_{sim} e : \tau_1 \times \tau_2 \longrightarrow (\mathbf{let } y = e \text{ in } \langle e'_1, e'_2 \rangle) : \tau'_1 \times \tau'_2} \text{ CPair}$$

$$\frac{\Gamma, x : \tau \vdash_{sim} x : \tau \longrightarrow e_r : \tau' \quad \Gamma, x : \tau' \vdash_{sim} x : \tau' \longrightarrow e_w : \tau \quad (f_r = \lambda x : \tau. e_r \quad f_w = \lambda x : \tau'. e_w)}{\Gamma \vdash_{sim} e : \tau \mathbf{ref} \longrightarrow \mathbf{PackDRef}(e, \tau, \tau', f_r, f_w) : \tau' \mathbf{dref}} \text{ CPackDRef}$$

$$\frac{\Gamma, x : \tau \vdash_{sim} x : \tau \longrightarrow e_r : \tau' \quad \Gamma, x : \tau' \vdash_{sim} x : \tau' \longrightarrow e_w : \tau \quad (f_r = \lambda x : \tau. e_r \quad f_w = \lambda x : \tau'. e_w)}{\Gamma \vdash_{sim} e : \tau \mathbf{dref} \longrightarrow \mathbf{RepackDRef}(e, \tau, \tau', f_r, f_w) : \tau' \mathbf{dref}} \text{ CRePackDRef}$$

$$\mathbf{PackDRef}(e, \tau, \tau', f_r, f_w) \equiv \mathbf{let } r = e \text{ in } \mathbf{let } f'_r = \lambda x : \mathbf{unit}. f_r !r \text{ in } \mathbf{let } f'_w = \lambda x : \tau'. r := (f_w x) \text{ in } \langle f'_r, f'_w \rangle$$

$$\mathbf{RepackDRef}(e, \tau, \tau', f_r, f_w) \equiv \mathbf{let } r = e \text{ in } \mathbf{let } f'_r = \pi_1 r \text{ in } \mathbf{let } f''_r = \lambda x : \mathbf{unit}. f_r (f'_r x) \text{ in } \mathbf{let } f'_w = \pi_2 r \text{ in } \mathbf{let } f''_w = \lambda x : \tau'. f'_w (f_w x) \text{ in } \langle f''_r, f''_w \rangle$$

$$\boxed{\Gamma \vdash_w e \rightsquigarrow e' : \tau}$$

$$\frac{\Gamma \vdash_{pure} c \quad \mathcal{F}(c) = \tau}{\Gamma \vdash_w c \rightsquigarrow c : \mathbf{self}(\tau, c)} \text{ATConstSelf} \quad \frac{\Gamma \not\vdash_{pure} c \quad \mathcal{F}(c) = \tau}{\Gamma \vdash_w c \rightsquigarrow c : \tau} \text{ATConst}$$

$$\frac{\Gamma \vdash_{pure} x \quad \Gamma(x) = \tau}{\Gamma \vdash_w x \rightsquigarrow x : \mathbf{self}(\tau, x)} \text{ATVarSelf} \quad \frac{\Gamma \not\vdash_{pure} x \quad \Gamma(x) = \tau}{\Gamma \vdash_w x \rightsquigarrow x : \tau} \text{ATVar}$$

$$\frac{\Gamma \vdash \Pi x : \tau_1. \tau_2 \text{ valid} \quad \Gamma' = \Gamma, f : \Pi x : \tau_1. \tau_2, x : \tau_1 \quad \Gamma' \vdash_w e \rightsquigarrow e' : \tau'_2 \quad \Gamma' \vdash_w e' : \tau'_2 \longrightarrow e'' : \tau_2}{\Gamma \vdash_w \mathbf{fix} f(x : \tau_1) : \tau_2. e \rightsquigarrow \mathbf{fix} f(x : \tau_1) : \tau_2. e'' : \Pi x : \tau_1. \tau_2} \text{ATFun}$$

$$\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \Pi x : \tau_1. \tau_2 \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau'_1 \quad \Gamma \vdash_w e'_2 : \tau'_1 \longrightarrow e''_2 : \tau_1 \quad \Gamma \vdash_{pure} e''_2}{\Gamma \vdash_w e_1 e_2 \rightsquigarrow e'_1 e'_2 : [e''_2/x]\tau_2} \text{ATAppPure}$$

$$\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \Pi x : \tau_1. \tau_2 \quad \Gamma \vdash_w e'_1 : \Pi x : \tau_1. \tau_2 \longrightarrow e''_1 : \tau_1 \rightarrow [\tau_2]_x \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau'_1 \quad \Gamma \vdash_w e'_2 : \tau'_1 \longrightarrow e''_2 : \tau_1 \quad \Gamma \not\vdash_{pure} e''_2}{\Gamma \vdash_w e_1 e_2 \rightsquigarrow e''_1 e''_2 : [\tau_2]_x} \text{ATAppImPure}$$

$$\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \tau_1 \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2}{\Gamma \vdash_w \langle e_1, e_2 \rangle \rightsquigarrow \langle e'_1, e'_2 \rangle : \tau_1 \times \tau_2} \text{ATProd}$$

$$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau_1 \times \tau_2}{\Gamma \vdash_w \pi_1 e \rightsquigarrow \pi_1 e' : \tau_1} \text{ATProjL} \quad \frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau_1 \times \tau_2}{\Gamma \vdash_w \pi_2 e \rightsquigarrow \pi_2 e' : \tau_2} \text{ATProjR}$$

$$\frac{\Gamma \vdash_{pure} e : \mathbf{bool} \quad \Gamma, u : \{e\} \vdash_w e_1 \rightsquigarrow e'_1 : \tau_1 \quad \Gamma, u : \{\neg e\} \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2 \quad \Gamma, u : \{e\} \vdash_w e'_1 : \tau_1 \longrightarrow e''_1 : \tau_1 \sqcup \tau_2 \quad \Gamma, u : \{\neg e\} \vdash_w e'_2 : \tau_2 \longrightarrow e''_2 : \tau_1 \sqcup \tau_2}{\Gamma \vdash_w \mathbf{if} e \text{ then } e_1 \text{ else } e_2 \rightsquigarrow \mathbf{if} e \text{ then } e'_1 \text{ else } e'_2 : \tau_1 \sqcup \tau_2} \text{ATIfPure}$$

$$\frac{\Gamma \not\vdash_{pure} e \quad \Gamma \vdash_w \mathbf{let} x = e \text{ in } \mathbf{if} x \text{ then } e_1 \text{ else } e_2 \rightsquigarrow e' : \tau}{\Gamma \vdash_w \mathbf{if} e \text{ then } e_1 \text{ else } e_2 \rightsquigarrow e' : \tau} \text{ATIfImPure}$$

$$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau' \quad \Gamma \vdash \tau \text{ valid} \quad \Gamma \vdash_w e' : \tau' \longrightarrow e'' : \tau}{\Gamma \vdash_w \mathbf{new} \tau \mathbf{ref} e \rightsquigarrow \mathbf{new} \tau \mathbf{ref} e'' : \tau \mathbf{ref}} \text{ATNew}$$

$$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau \mathbf{ref}}{\Gamma \vdash_w !e \rightsquigarrow !e' : \tau} \text{ATGet} \quad \frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau' \quad (\tau' = \tau \mathbf{ref} \text{ or } \tau \mathbf{dref}) \quad \Gamma \vdash_w e' : \tau' \longrightarrow e'' : \tau \mathbf{dref}}{\Gamma \vdash_w !_d e \rightsquigarrow (\pi_1 e'') () : \tau} \text{ATDGet}$$

$$\frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \tau_1 \mathbf{ref} \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2 \quad \Gamma \vdash_w e'_2 : \tau_2 \longrightarrow e''_2 : \tau_1}{\Gamma \vdash_w e_1 := e_2 \rightsquigarrow e'_1 := e''_2 : \mathbf{unit}} \text{ATSet} \quad \frac{\Gamma \vdash_w e_1 \rightsquigarrow e'_1 : \tau'_1 \quad (\tau'_1 = \tau_1 \mathbf{ref} \text{ or } \tau_1 \mathbf{dref}) \quad \Gamma \vdash_w e'_1 : \tau'_1 \longrightarrow e''_1 : \tau_1 \mathbf{dref} \quad \Gamma \vdash_w e_2 \rightsquigarrow e'_2 : \tau_2 \quad \Gamma \vdash_w e'_2 : \tau_2 \longrightarrow e''_2 : \tau_1}{\Gamma \vdash_w e_1 :=_d e_2 \rightsquigarrow (\pi_2 e''_1) e''_2 : \mathbf{unit}} \text{ATDSet}$$

$$\frac{\Gamma \vdash_w e \rightsquigarrow e' : \tau' \quad \Gamma \vdash \tau \text{ valid} \quad \Gamma \vdash_{sim} e' : \tau' \longrightarrow e'' : \tau}{\Gamma \vdash_w \mathbf{assert}(e, \tau) \rightsquigarrow e'' : \tau} \text{ATAssert}$$

$$\frac{\Gamma \vdash_{sim} e \rightsquigarrow e' : \tau}{\Gamma \vdash_{dep} \mathbf{simple}\{e\} \rightsquigarrow e' : \tau} \text{ATDynamic} \quad \frac{\Gamma \vdash_{dep} e \rightsquigarrow e' : \tau}{\Gamma \vdash_{sim} \mathbf{dependent}\{e\} \rightsquigarrow e' : \tau} \text{ATStatic}$$

$\langle \tau \rangle$

$$\begin{aligned} \langle \tau \mathbf{dref} \rangle &= (\mathbf{unit} \rightarrow \langle \tau \rangle) \times (\langle \tau \rangle \rightarrow \mathbf{unit}) \\ \langle \tau_b \rangle &= \tau_b & \langle \{x : \tau_b \mid e\} \rangle &= \{x : \tau_b \mid e\} \\ \langle \Pi x : \tau_1. \tau_2 \rangle &= \Pi x : \langle \tau_1 \rangle. \langle \tau_2 \rangle & \langle \tau_1 \times \tau_2 \rangle &= \langle \tau_1 \rangle \times \langle \tau_2 \rangle \\ \langle \tau \mathbf{ref} \rangle &= \langle \tau \rangle \mathbf{ref} \end{aligned}$$

$\langle \Gamma \rangle$

$$\langle \bullet \rangle = \bullet \quad \langle \Gamma, x : \tau \rangle = \langle \Gamma \rangle, x : \langle \tau \rangle$$

$\langle e \rangle$

Whenever a type τ appears in e , replace it with $\langle \tau \rangle$

B Type safety

B.1 Properties required for $\Gamma \models e$

1. Hypothesis. $\Gamma_1, x : \{y : \tau_b \mid e\}, \Gamma_2 \models [x/y]e$.
2. Weakening. If $\Gamma_1, \Gamma_2 \models e$, then $\Gamma_1, \Gamma_3, \Gamma_2 \models e$.
3. Substitution of base type variable. Let e_0 be either a value or a variable, if $\Gamma_1, x : \tau_b, \Gamma_2 \models e$ and $\Gamma_1 \vdash_{pure} e_0 : \tau_b$, then $\Gamma_1, [e_0/x]\Gamma_2 \models [e_0/x]e$.
4. Substitution of set type variable. Let e_0 be either a value or a variable, if $\Gamma_1, x : \{x : \tau_b \mid e_1\}, \Gamma_2 \models e$, $\Gamma_1 \vdash_{pure} e_0 : \tau_b$, and $\Gamma_1 \models [e_0/x]e_1$, then $\Gamma_1, [e_0/x]\Gamma_2 \models [e_0/x]e$.
5. Equality. $\Gamma, x : \tau_b, u : \{e\}, y : \tau_b, v : \{x = y\} \models [y/x]e$
6. If $\Gamma_1, x : \tau, \Gamma_2 \models e$ and $x \notin \text{FV}(\Gamma_2) \cup \text{FV}(e)$, then $\Gamma_1, \Gamma_2 \models e$.
7. For all c and v such that $\mathcal{I}(c, v)$ is defined with type τ , then for all Γ and C , $\Gamma \models C[cv]$ iff $\Gamma \models C[\mathcal{I}(c, v)]$. Context C is of type **bool** when given an expression of type τ .
8. **true**, **false**, $\neg e$, $e \wedge e$ satisfy the classical properties of propositional logic such as $\Gamma \models \mathbf{true}$, $\Gamma \models \neg \mathbf{false}$, $\Gamma \models e \supset e$, etc.

B.2 Type safety

Lemma 1 (Relation between $\Gamma \vdash_{pure} e : \tau$ and $\Psi; \Gamma \vdash e : \tau$).

1. If $\Gamma \vdash_{pure} e : \tau$, then $\Psi; \Gamma \vdash e : \tau$.
2. If $\Psi; \Gamma \vdash e : \tau$ and $\Gamma \vdash_{pure} e : \tau_b$, then $\llbracket \tau \rrbracket = \tau_b$.

Lemma 2 (Free variables in types).

1. If $\Gamma \vdash_{pure} e : \tau$ and $x \in \text{FV}(e)$, then $\llbracket \Gamma(x) \rrbracket = \tau_b$ for some $\tau_b \in \mathcal{T}$.
2. If $\Gamma \vdash \tau$ valid and $x \in \text{FV}(\tau)$, then $\llbracket \Gamma(x) \rrbracket = \tau_b$ for some $\tau_b \in \mathcal{T}$.

Proof. By induction over the derivation of the first premise. □

Lemma 3 (Weakening).

- If $\Gamma_1, \Gamma_2 \vdash_{\text{pure}} e : \tau$, then $\Gamma_1, \Gamma_3, \Gamma_2 \vdash_{\text{pure}} e : \tau$.
- If $\Gamma_1, \Gamma_2 \vdash \tau$ valid, then $\Gamma_1, \Gamma_3, \Gamma_2 \vdash \tau$ valid.
- If $\Gamma_1, \Gamma_2 \vdash \tau_1 \leq \tau_2$ then $\Gamma_1, \Gamma_3, \Gamma_2 \vdash \tau_1 \leq \tau_2$.
- If $\Psi_1; \Gamma_1, \Gamma_2 \vdash e : \tau$, then $\Psi_1, \Psi_2; \Gamma_1, \Gamma_3, \Gamma_2 \vdash e : \tau$

Proof. By induction over the derivation of the premise and use Prop 2. \square

Lemma 4 (Subsumption on the variable environment).

If $\Gamma_1 \vdash \tau' \leq \tau$,

- and $\Gamma_1, x : \tau, \Gamma_2 \Vdash e$, then $\Gamma_1, x : \tau', \Gamma_2 \Vdash e$.
- and $\Gamma_1, x : \tau, \Gamma_2 \vdash_{\text{pure}} e : \tau_1$, then $\Gamma_1, x : \tau', \Gamma_2 \vdash_{\text{pure}} e : \tau_1$.
- and $\Gamma_1, x : \tau, \Gamma_2 \vdash \tau_1$ valid, then $\Gamma_1, x : \tau', \Gamma_2 \vdash \tau_1$ valid.
- and $\Gamma_1, x : \tau, \Gamma_2 \vdash \tau_1 \leq \tau_2$, then $\Gamma_1, x : \tau', \Gamma_2 \vdash \tau_1 \leq \tau_2$.
- and $\Psi; \Gamma_1, x : \tau, \Gamma_2 \vdash e : \tau_1$, then $\Psi; \Gamma_1, x : \tau', \Gamma_2 \vdash e : \tau_1$.

Proof. By induction over the derivation of the second premise. Use Prop 3 and Prop 4. \square

Lemma 5 (Canonical forms lemma for set type).

Let e_0 be a value or a variable, if $\Psi; \Gamma \vdash e_0 : \{x : \tau_b \mid e\}$, then $e_0 = c$ or $e_0 = x$; and $\Gamma \Vdash [e_0/x]e$.

Proof. By induction over the derivation of $\Psi; \Gamma \vdash e_0 : \{x : \tau_b \mid e\}$. \square

Lemma 6 (Substitution of base-type values).

Let e_0 be a value or a variable, if $\Gamma_1 \vdash_{\text{pure}} e_0 : \tau_b$, $\Psi; \Gamma_1 \vdash e_0 : \tau'$,

1. and $\Gamma_1, x : \tau', \Gamma_2 \vdash_{\text{pure}} e : \tau$, then $\Gamma_1, [e_0/x]\Gamma_2 \vdash_{\text{pure}} [e_0/x]e : [e_0/x]\tau$.
2. and $\Gamma_1, x : \tau', \Gamma_2 \vdash \tau$ valid, then $\Gamma_1, [e_0/x]\Gamma_2 \vdash [e_0/x]\tau$ valid.
3. and $\Gamma_1, x : \tau', \Gamma_2 \vdash \tau_1 \leq \tau_2$, then $\Gamma_1, [e_0/x]\Gamma_2 \vdash [e_0/x]\tau_1 \leq [e_0/x]\tau_2$.
4. and $\Psi; \Gamma_1, x : \tau', \Gamma_2 \vdash e : \tau$, then
 $\Gamma_1, [e_0/x]\Gamma_2 \vdash \mathbf{self}([e_0/x]\tau, [e_0/x]e) \leq [e_0/x]\mathbf{self}(\tau, e)$.
5. and $\Psi; \Gamma_1, x : \tau', \Gamma_2 \vdash e : \tau$, then $\Psi; \Gamma_1, [e_0/x]\Gamma_2 \vdash [e_0/x]e : [e_0/x]\tau$.

Proof. Lemma 6.4 is by induction over the structure of τ . Others are by induction over the derivation of the corresponding judgment. Use Prop 3, Lemma 3 Lemma 1.1 and Lemma 5. \square

Lemma 7 (Substitution of non-base-type values).

If $\llbracket \tau' \rrbracket \neq \tau_b$,

1. and $\Gamma_1, x : \tau', \Gamma_2 \vdash_{\text{pure}} e : \tau$, then $\Gamma_1, \Gamma_2 \vdash_{\text{pure}} e : \tau$.
2. and $\Gamma_1, x : \tau', \Gamma_2 \vdash \tau$ valid, then $\Gamma_1, \Gamma_2 \vdash \tau$ valid.
3. and $\Gamma_1, x : \tau', \Gamma_2 \vdash \tau_1 \leq \tau_2$, then $\Gamma_1, \Gamma_2 \vdash \tau_1 \leq \tau_2$.
4. $\bullet \vdash_{\text{pure}} v : \tau'$, and $\Psi; \Gamma_1, x : \tau', \Gamma_2 \vdash e : \tau$, then $\Psi; \Gamma_1, \Gamma_2 \vdash [v/x]e : \tau$.

Proof. By Lemma 2.1 and Lemma 2.2, variable x cannot appear in pure expressions and types. Also use Prop 6 and Lemma 3. \square

Lemma 8 (Inversion lemma).

- If $\Psi; \Gamma \vdash (\mathbf{fix} \ f(x : \tau_1) : \tau_2.e) : \Pi x : \tau_3.\tau_4$, then $\Gamma \vdash \tau_3 \leq \tau_1$, $\Gamma, x : \tau_3 \vdash \tau_2 \leq \tau_4$, $\Psi; \Gamma \vdash \mathbf{fix} \ f(x : \tau_1) : \tau_2.e : \Pi x : \tau_1.\tau_2$ and $\Psi; \Gamma, f : \Pi x : \tau_1.\tau_2, x : \tau_1 \vdash e : \tau_2$.
- If $\Psi; \Gamma \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2$, then $\Psi; \Gamma \vdash e_1 : \tau_1$, $\Psi; \Gamma \vdash e_2 : \tau_2$.
- If $\Psi; \Gamma \vdash l : \tau$ **ref**, then $\bullet \vdash \Psi(l) \leq \tau$ and $\bullet \vdash \tau \leq \Psi(l)$.

Proof. By induction over the derivation of the first premise and use Lemma 4. \square

Lemma 9 (Preservation for pure terms). *If $(M, e) \mapsto (M, e')$ and $\bullet \vdash_{\text{pure}} e : \tau$, then $\bullet \vdash_{\text{pure}} e' : \tau$.*

Lemma 10 (Step lemma).

If $(M, e) \mapsto (M, e')$, $\bullet \vdash_{\text{pure}} e : \tau_b$, $\llbracket \tau_1 \rrbracket = \tau_b$,

1. *and $\Gamma, x : \tau_1 \vdash_{\text{pure}} e_1 : \mathbf{bool}$, then $\Gamma \models [e'/x]e_1 \supset [e/x]e_1$.*
2. *and $\Gamma, x : \tau_1 \vdash \tau$ valid, then $\Gamma \vdash [e'/x]\tau \leq [e/x]\tau$.*
3. *and $\tau_b = \mathbf{bool}$, then $\Gamma_1, u : \{e\}, \Gamma_2 \models e_1$ iff $\Gamma_1, u : \{e'\}, \Gamma_2 \models e_1$.*
4. *and $\tau_b = \mathbf{bool}$, then $\Psi; \Gamma_1, u : \{e\}, \Gamma_2 \vdash e_1 : \tau_1$ iff $\Psi; \Gamma_1, u : \{e'\}, \Gamma_2 \vdash e_1 : \tau_1$.*

Proof. Use Prop 7 and Prop 3. \square

Theorem 4 (Preservation).

If (M, e) ok and $(M, e) \mapsto (M', e')$, then (M', e') ok.

Proof. Prove a stronger result: if $M : \Psi$ and $\Psi; \bullet \vdash e : \tau$, then exists Ψ' such that $\Psi \subseteq \Psi'$, $M' : \Psi'$ and $\Psi'; \bullet \vdash e' : \tau$. By induction over the derivation of $\Psi; \bullet \vdash e : \tau$. Use Lemma 6, Lemma 7, Lemma 8 and Lemma 10. \square

Lemma 11 (Canonical Forms Lemma).

Suppose $\Psi; \bullet \vdash v : \tau$

- *If $\tau = \mathbf{bool}$, then either $v = \mathbf{true}$ or $v = \mathbf{false}$*
- *If $\tau = \Pi x : \tau_1.\tau_2$, then either $v = c$ or $v = \mathbf{fix} \ f(x : \tau_1') : \tau_2'.e$,*
- *If $\tau = \tau_1 \times \tau_2$, then $v = \langle v_1, v_2 \rangle$*
- *If $\tau = \tau_1$ **ref**, then $v = l$ and $l \in \text{dom}(\Psi)$.*

Theorem 5 (Progress).

If (M, e) ok, then either e is a value, or $e = \mathbf{fail}$, or $(M, e) \mapsto (M', e')$ for some M' and e' .

Proof. We know that $M : \Psi$ and $\Psi; \bullet \vdash e : \tau$. By induction over the derivation of $\Psi; \bullet \vdash e : \tau$. Use Lemma 11. \square

B.3 Soundness of the type directed translation of surface language

Lemma 12 (Type translation respects type validity and subtyping).

- *If $\Gamma \vdash \tau$ valid then $\langle \Gamma \rangle \vdash \langle \tau \rangle$ valid.*
- *If $\Gamma \vdash \tau \leq \tau'$ then $\langle \Gamma \rangle \vdash \langle \tau \rangle \leq \langle \tau' \rangle$.*

Proof. By induction on the type validity and subtyping judgment. \square

Lemma 13 (Properties of $[\tau]_x$). *If $\Gamma, x : \tau_1 \vdash \tau$ valid, then $\Gamma \vdash [\tau]_x$ valid.*

Proof. By induction on the type validity judgment. \square

Theorem 6 (Soundness of type coercion).

Assume $\Gamma \vdash \tau$ valid and $\Gamma \vdash \tau'$ valid, if $\Gamma \vdash_w e : \tau \longrightarrow e' : \tau'$ and $\bullet; (\Gamma) \vdash (e) : (\tau)$, then $\bullet; (\Gamma) \vdash (e') : (\tau')$.

Proof. By induction on the coercion judgment, using Lemma 12. \square

Theorem 7 (Soundness of translation).

If $\Gamma \vdash_w e \rightsquigarrow e' : \tau$, then $\bullet; (\Gamma) \vdash (e') : (\tau)$.

Proof. By induction on the translation rules, using Theorem 6 and Lemma 13. \square

B.4 Completeness of type directed translation

First, we formalize a simply typed language which has only non-dependent types and dynamic reference types.

A simply typed language:

types $\tau ::= \tau_b \mid \tau \rightarrow \tau \mid \tau \times \tau \mid \tau \mathbf{dref}$
expressions $e ::= c \mid x \mid \mathbf{fix} f(x : \tau_1) : \tau_2. e \mid e e$
 $\mid (e, e) \mid \pi_1 e \mid \pi_2 e \mid \mathbf{if} e \mathbf{then} e \mathbf{else} e$
 $\mid \mathbf{new} \tau \mathbf{ref} e \mid !_d e \mid e :=_d e$

$\boxed{\Gamma \vdash_0 e : \tau}$

$$\frac{\mathcal{F}(c) = \tau}{\Gamma \vdash_0 c : [\tau]} SConst \quad \frac{\Gamma(x) = \tau}{\Gamma \vdash_0 x : [\tau]} SVar$$

$$\frac{\Gamma \vdash \tau_1 \rightarrow \tau_2 \text{ valid} \quad \Gamma, f : \tau_1 \rightarrow \tau_2, x : \tau_1 \vdash_0 e : \tau_2}{\Gamma \vdash_0 \mathbf{fix} f(x : \tau_1) : \tau_2. e : \tau_1 \rightarrow \tau_2} SFun \quad \frac{\Gamma \vdash_0 e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash_0 e_2 : \tau_1}{\Gamma \vdash_0 e_1 e_2 : \tau_2} SApp$$

$$\frac{\Gamma \vdash_0 e_1 : \tau_1 \quad \Gamma \vdash_0 e_2 : \tau_2}{\Gamma \vdash_0 (e_1, e_2) : \tau_1 \times \tau_2} SProd \quad \frac{\Gamma \vdash_0 e : \tau_1 \times \tau_2}{\Gamma \vdash_0 \pi_1 e : \tau_1} SProjL$$

$$\frac{\Gamma \vdash_0 e : \tau_1 \times \tau_2}{\Gamma \vdash_0 \pi_2 e : \tau_2} SProjR \quad \frac{\Gamma \vdash_0 e : \mathbf{bool} \quad \Gamma \vdash_0 e_1 : \tau \quad \Gamma \vdash_0 e_2 : \tau}{\Gamma \vdash_0 \mathbf{if} e \mathbf{then} e_1 \mathbf{else} e_2 : \tau} SIf$$

$$\frac{\Gamma \vdash_0 e : \tau}{\Gamma \vdash_0 \mathbf{new} \tau \mathbf{ref} e : \tau \mathbf{dref}} SNew$$

$$\frac{\Gamma \vdash_0 e : \tau \mathbf{dref}}{\Gamma \vdash_0 (!_d e) : \tau} SGet \quad \frac{\Gamma \vdash_0 e_1 : \tau \mathbf{dref} \quad \Gamma \vdash_0 e_2 : \tau}{\Gamma \vdash_0 e_1 :=_d e_2 : \mathbf{unit}} SSet$$

Define $\text{co_ref}(\tau)$ to be true iff any τ' **ref** in τ only appears in its covariant position. Define $\text{contra_ref}(\tau)$ to be true iff any τ' **ref** in τ only appears in its contravariant

position.

$$\begin{aligned}
\text{co_ref}(\tau_b) &= \mathbf{true} \\
\text{co_ref}(\{x : \tau_b \mid e\}) &= \mathbf{true} \\
\text{co_ref}(\Pi x : \tau_1. \tau_2) &= \text{contra_ref}(\tau_1) \wedge \text{co_ref}(\tau_2) \\
\text{co_ref}(\tau_1 \times \tau_2) &= \text{co_ref}(\tau_1) \times \text{co_ref}(\tau_2) \\
\text{co_ref}(\tau \mathbf{ref}) &= \text{co_ref}(\tau) \wedge \text{contra_ref}(\tau) \\
\text{co_ref}(\tau \mathbf{dref}) &= \text{co_ref}(\tau) \wedge \text{contra_ref}(\tau)
\end{aligned}$$

$$\begin{aligned}
\text{contra_ref}(\tau_b) &= \mathbf{true} \\
\text{contra_ref}(\{x : \tau_b \mid e\}) &= \mathbf{true} \\
\text{contra_ref}(\Pi x : \tau_1. \tau_2) &= \text{co_ref}(\tau_1) \wedge \text{contra_ref}(\tau_2) \\
\text{contra_ref}(\tau_1 \times \tau_2) &= \text{contra_ref}(\tau_1) \times \text{contra_ref}(\tau_2) \\
\text{contra_ref}(\tau \mathbf{ref}) &= \mathbf{false} \\
\text{contra_ref}(\tau \mathbf{dref}) &= \text{co_ref}(\tau) \wedge \text{contra_ref}(\tau)
\end{aligned}$$

Lemma 14 (Simple type match).

1. If $\Gamma \vdash \tau \leq \tau'$, then $[\tau] = [\tau']$.
2. If $\Gamma \vdash_w e : \tau \longrightarrow e' : \tau'$, then $[\tau] = [\tau']$.

Proof. Proof by induction over the derivation of first premise. □

Lemma 15 (Existence of type coercion). If $\text{co_ref}(\tau)$, $\text{contra_ref}(\tau')$ and $[\tau] = [\tau']$, then for all Γ and e , exists e' such that $\Gamma \vdash_{sim} e : \tau \longrightarrow e' : \tau'$.

Proof. Proof by induction over the structure of τ and τ' . □

Lemma 16. If $\text{co_ref}(\Gamma)$, $\text{co_ref}(\mathcal{F})$, e is in simply typed language syntax, and $\Gamma \vdash_w e \rightsquigarrow e' : \tau$, then $\text{co_ref}(\tau)$.

Proof. Proof by induction over the derivation of $\Gamma \vdash_w e \rightsquigarrow e' : \tau$. □

Lemma 17 (Relation between $\Gamma \vdash_0 e : \tau$ and $\Gamma \vdash_{sim} e \rightsquigarrow e' : \tau'$). If $\Gamma \vdash_0 e : \tau$ and $\Gamma \vdash_{sim} e \rightsquigarrow e' : \tau'$, then $[\tau'] = \tau$.

Proof. Proof by induction over the derivation of $\Gamma \vdash_{sim} e \rightsquigarrow e' : \tau'$. Use Lemma 14. □

Theorem 8 (Completeness of translation). If $\Gamma \vdash_0 e : \tau$ and $\text{co_ref}(\Gamma)$ and $\text{co_ref}(\mathcal{F})$, then exists e' and τ' such that $\Gamma \vdash_{sim} e \rightsquigarrow e' : \tau'$.

Proof. Proof by induction over the structure of e . Use Lemma 15, Lemma 16 and Lemma 17. □