

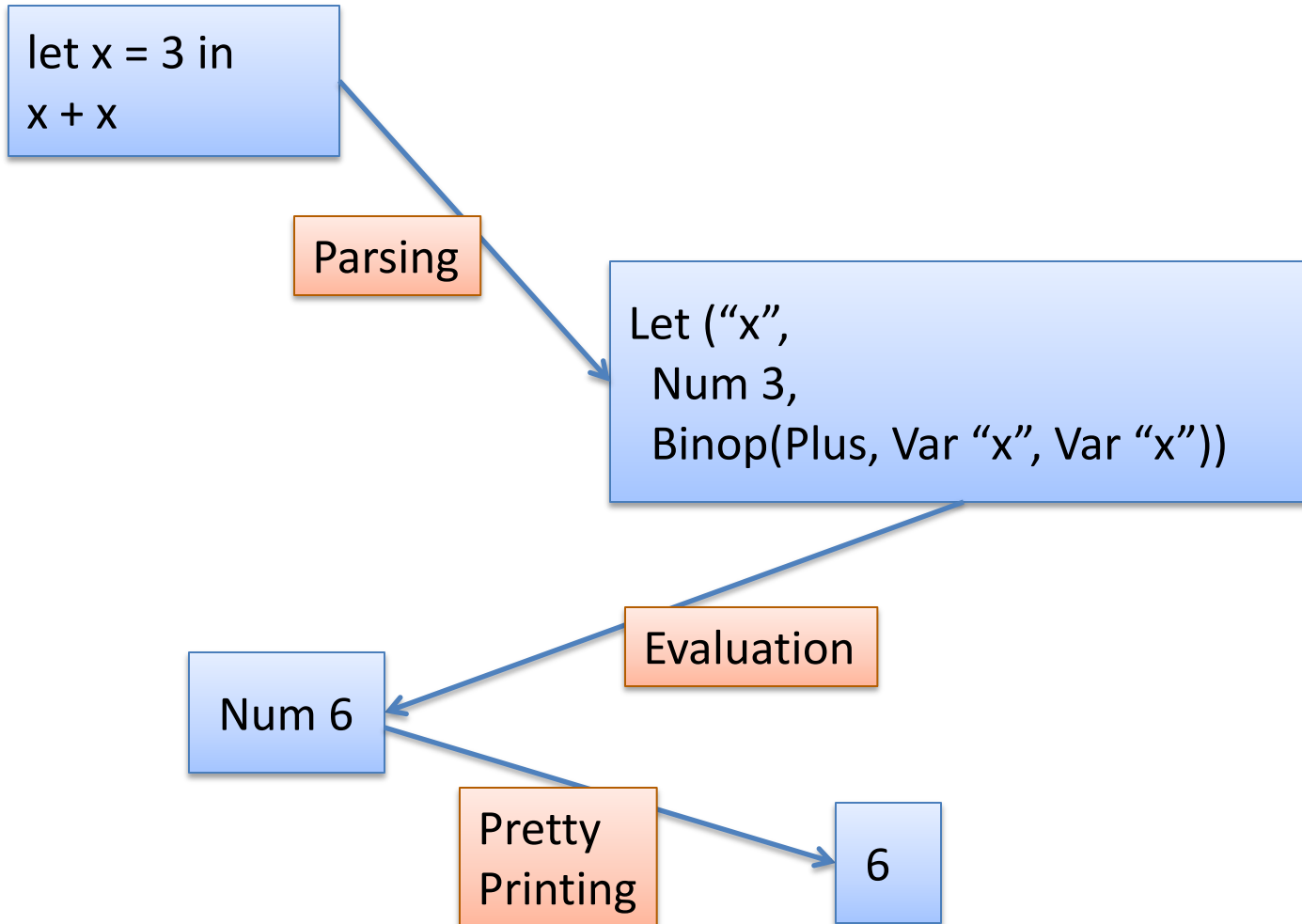
# Type Checking

COS 326

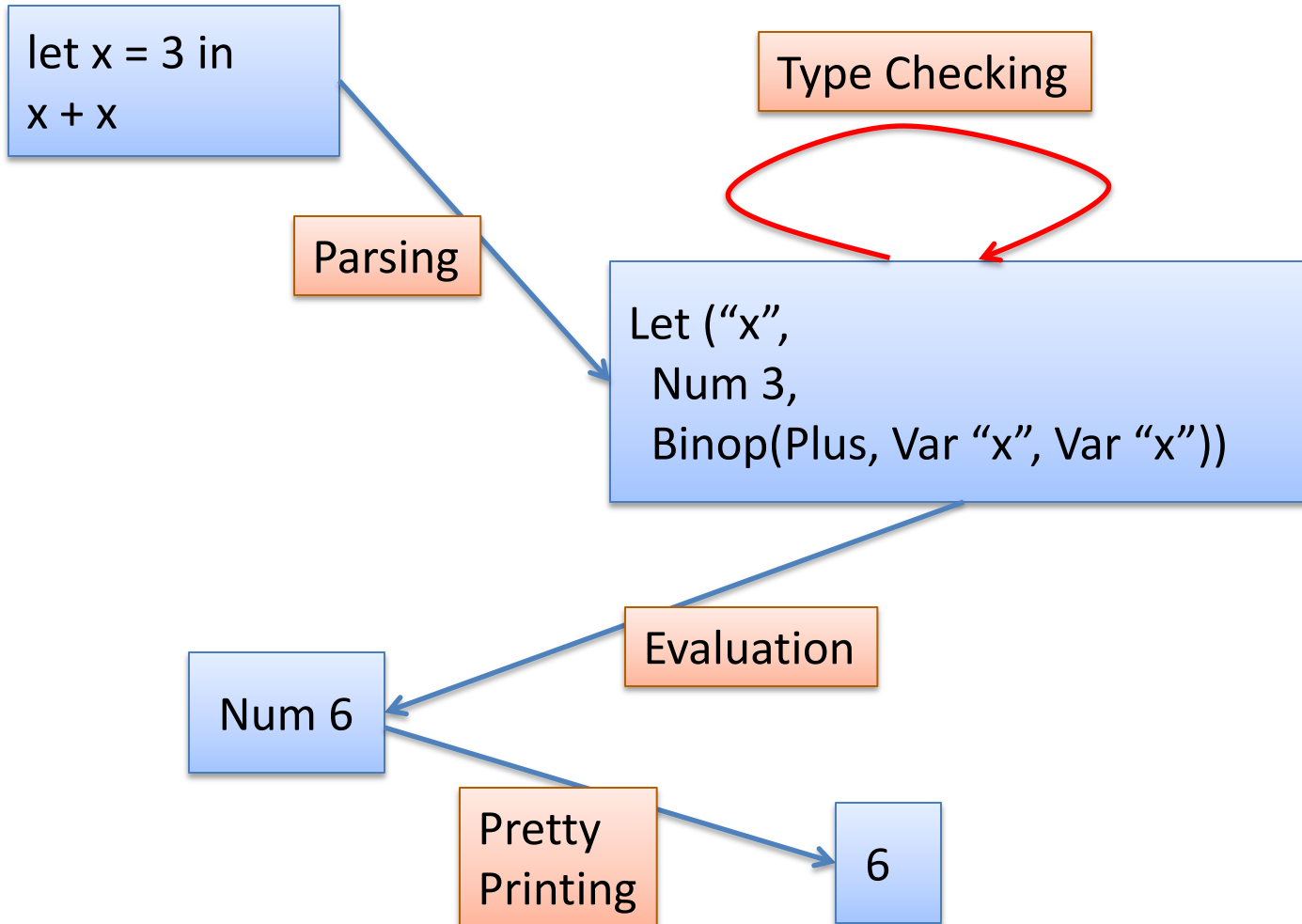
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# Implementing an Interpreter



# Implementing an Interpreter



# Language Syntax

type t = IntT | BoolT | ArrT of t \* t

type x = string (\* variables \*)

type c = Int of int | Bool of bool

type o = Plus | Minus | LessThan

type e =

  Const of c

  | Op of e \* o \* e

  | Var of x

  | If of e \* e \* e

  | Fun of x \* typ \* e

  | Call of e \* e

  | Let of x \* e \* e

# Language Syntax

```
type t = IntT | BoolT | ArrT of t * t
```

```
type x = string (* variables *)
```

```
type c = Int of int | Bool of bool
```

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type o = Plus | Minus | LessThan
```

```
type e =
```

```
  Const of c
```

```
  | Op of e * o * e
```

```
  | Var of x
```

```
  | If of e * e * e
```

```
  | Fun of x * typ * e
```

```
  | Call of e * e
```

```
  | Let of x * e * e
```

Notice that we require  
a type annotation here.

We'll see why this is required  
for our type checking algorithm later.

# Language (Abstract) Syntax (BNF Definition)

```
type t = IntT | BoolT | ArrT of t * t
```

```
type x = string (* variables *)
```

```
type c = Int of int | Bool of bool
```

```
type o = Plus | Minus | LessThan
```

```
type e =
```

```
  Const of c
```

```
  | Op of e * o * e
```

```
  | Var of x
```

```
  | If of e * e * e
```

```
  | Fun of x * typ * e
```

```
  | Call of e * e
```

```
  | Let of x * e * e
```

```
t ::= int | bool | t -> t
```

```
b    -- ranges over booleans
```

```
n    -- ranges over integers
```

```
x    -- ranges over variable names
```

```
c ::= n | b
```

```
o ::= + | - | <
```

```
e ::=
```

```
  c
```

```
  | e o e
```

```
  | x
```

```
  | if e then e else e
```

```
  |  $\lambda x:t.e$ 
```

```
  | e e
```

```
  | let x = e in e
```

# Recall Inference Rule Notation

When defining how evaluation worked, we used this notation:

$$\frac{e1 \text{ -->}^* \lambda x.e \quad e2 \text{ -->}^* v2 \quad e[v2/x] \text{ -->}^* v}{e1 \ e2 \text{ -->}^* v}$$

In English:

“if  $e1$  evaluates to a function with argument  $x$  and body  $e$   
and  $e2$  evaluates to a value  $v2$   
and  $e$  with  $v2$  substituted for  $x$  evaluates to  $v$   
then  $e1$  applied to  $e2$  evaluates to  $v$ ”

And we were also able to translate each rule into 1 case of a function in OCaml. Together all the rules formed the basis for an interpreter for the language.

# The evaluation judgement

This notation:

$$e \rightarrow^* v$$

was read in English as "e evaluates to v."

It described a relation between two things – an expression  $e$  and a value  $v$ . (And  $e$  was related to  $v$  whenever  $e$  evaluated to  $v$ .)

Note also that we usually thought of  $e$  on the left as "given" and the  $v$  on the right as computed from  $e$  (according to the rules).



# The typing judgment

This notation:

$$G \vdash e : t$$

is read in English as "e has type t in context G." It is going to define how type checking works.

It describes a relation between three things – a type checking context G, an expression e, and a type t.

We are going to think of G and e as given, and we are going to compute t. The typing rules are going to tell us how.

# Typing Contexts

What is the type checking context  $G$ ?

Technically, I'm going to treat  $G$  as if it were a (partial) function that maps variable names to types. Notation:

$G(x)$  -- look up  $x$ 's type in  $G$

$G, x:t$  -- extend  $G$  so that  $x$  maps to  $t$

When  $G$  is empty, I'm just going to omit it. So I'll sometimes just write:  $\vdash e : t$

# Example Typing Contexts

Here's an example context:

`x:int, y:bool, z:int`

Think of a context as a series of "assumptions" or "hypotheses"

Read it as the assumption that "x has type int, y has type bool and z has type int"

In the substitution model, if you assumed x has type int, that means that when you run the code, you had better actually wind up substituting an integer for x.

# Typing Contexts and Free Variables

One more bit of intuition:

If an expression  $e$  contains free variables  $x$ ,  $y$ , and  $z$  then we need to supply a context  $G$  that contains types for at least  $x$ ,  $y$  and  $z$ . If we don't, we won't be able to type-check  $e$ .

# Type Checking Rules

```
t ::= int | bool | t -> t
```

```
c ::= n | b
```

```
o ::= + | - | <
```

```
e ::=
```

```
c
```

```
| e o e
```

```
| x
```

```
| if e then e else e
```

```
|  $\lambda x:t.e$ 
```

```
| e e
```

```
| let x = e in e
```

**Goal:** Give rules that define the relation " $G \vdash e : t$ ".

To do that, we are going to give one rule for every sort of expression.

(We can turn each rule into a case of a recursive function that implements it pretty directly.)

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for constant booleans:

---

$G \vdash b : \text{bool}$

English:

“boolean constants  $b$  *always* have type `bool`, no matter what the context  $G$  is”

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for constant integers:

$$\frac{}{G \vdash n : \text{int}}$$

English:

“integer constants  $n$  *always* have type  $\text{int}$ , no matter what the context  $G$  is”

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for operators:

$$\frac{G \vdash e1 : t1 \quad G \vdash e2 : t2 \quad \text{optype}(o) = (t1, t2, t3)}{G \vdash e1 \ o \ e2 : t3}$$

where

$\text{optype}(+) = (\text{int}, \text{int}, \text{int})$

$\text{optype}(-) = (\text{int}, \text{int}, \text{int})$

$\text{optype}(<) = (\text{int}, \text{int}, \text{bool})$

English:

" $e1 \ o \ e2$  has type  $t3$ , if  $e1$  has type  $t1$ ,  $e2$  has type  $t2$  and  $o$  is an operator that takes arguments of type  $t1$  and  $t2$  and returns a value of type  $t3$ "



# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for variables:

look up  $x$  in  
context  $G$

$G \vdash x : G(x)$

English:

"variable  $x$  has the type given by the context"

Note: this is rule explains (part) of why the context needs to provide types for all of the free variables in an expression

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for if:

$$\frac{G \vdash e1 : \text{bool} \quad G \vdash e2 : t \quad G \vdash e3 : t}{G \vdash \text{if } e1 \ \text{then } e2 \ \text{else } e3 : t}$$

English:

"if  $e1$  has type  $\text{bool}$   
and  $e2$  has type  $t$   
and  $e3$  has (the same) type  $t$   
then  $e1$  then  $e2$  else  $e3$  has type  $t$  "

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \text{ then } e \text{ else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \text{ in } e$

Rule for functions:

$$\frac{G, x:t \vdash e : t_2}{G \vdash \lambda x:t.e : t \rightarrow t_2}$$

Notice that to know how to extend the context  $G$ , we need the typing annotation on the function argument

English:

"if  $G$  extended with  $x:t$  proves  $e$  has type  $t_2$  then  $\lambda x:t.e$  has type  $t \rightarrow t_2$  "

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for function call:

$$\frac{G \vdash e1 : t1 \rightarrow t2 \quad G \vdash e2 : t1}{G \vdash e1 \ e2 : t2}$$

English:

"if  $e1$  has type  $t1 \rightarrow t2$  and  $e2$  has type  $t1$  then  $e1 \ e2$  has type  $t2$  "

# Typing Contexts and Free Variables

$t ::= \text{int} \mid \text{bool} \mid t \rightarrow t$

$c ::= n \mid b$

$o ::= + \mid - \mid <$

$e ::=$

$c$

$\mid e \ o \ e$

$\mid x$

$\mid \text{if } e \ \text{then } e \ \text{else } e$

$\mid \lambda x:t.e$

$\mid e \ e$

$\mid \text{let } x = e \ \text{in } e$

Rule for let:

$$\frac{G \vdash e1 : t1 \quad G, x:t1 \vdash e2 : t2}{G \vdash \text{let } x = e1 \ \text{in } e2 : t2}$$

English:


"if  $e1$  has type  $t1$   
and  $G$  extended with  $x:t1$  proves  $e2$  has type  $t2$   
then **let  $x = e1$  in  $e2$**  has type  $t2$  "

# A Typing Derivation

A typing derivation is a "proof" that an expression is well-typed in a particular context.

Such proofs consist of a tree of valid rules, with no obligations left unfulfilled at the top of the tree.

notice that "int" is associated with x in the context


$$\frac{\frac{G, x:\text{int} \vdash x : \text{int}}{G, x:\text{int} \vdash x + 2 : \text{int}} \quad \frac{}{G, x:\text{int} \vdash 2 : \text{int}}}{G \vdash \lambda x:\text{int}. x + 2 : \text{int} \rightarrow \text{int}}$$

# Key Properties

Good type systems are *sound*.

- ie, well-typed programs have "well-defined" evaluation
  - ie, our interpreter should not raise an exception part-way through because it doesn't know how to continue evaluation
  - “in a sound type system, well-typed programs do not go wrong”

Examples of OCaml expressions that go wrong:

- `true + 3` (addition of booleans not defined)
- `let (x,y) = 17 in ...` (can't extract fields of int)
- `true (17)` (can't use a bool as if it is a function)

Sound type systems *accurately* predict run time behavior

- if  $e : \text{int}$  and  $e$  terminates then  $e$  evaluates to an integer

# Soundness = Progress + Preservation

Proving soundness boils down to two theorems:

## Progress Theorem:

If  $\vdash e : t$  then either:

- (1)  $e$  is a value, or
- (2)  $e \rightarrow e'$

## Preservation Theorem:

If  $\vdash e : t$  and  $e \rightarrow e'$  then  $\vdash e' : t$

See COS 510 for proofs of these theorems.

But you have most of the necessary techniques:

Proof by induction on the structure of ...

... various inductive data types. :-)



The typing rules also define an algorithm for  
... type checking ...

If you view  $G$  and  $e$  as inputs,  
the rules for “ $G \vdash e : t$ ” tell you how to compute  $t$

# Recall the OCaml Definition of Our Syntax

```
type t = IntT                (* type int *)
      | BoolT               (* type bool *)
      | ArrT of t * t       (* type t -> t *)

type x = string              (* variables *)
type c = Int of int | Bool of bool (* integer and boolean constants *)
type o = Plus | Minus | LessThan (* operators *)

type e =                      (* expressions *)
  Const of c
  | Op of e * o * e
  | Var of x
  | If of e * e * e
  | Fun of x * t * e          (* t gives type of argument *)
  | Call of e * e
  | Let of x * e * e
```

# Signature for Context Operations

```
(* abstract type of contexts *)
```

```
type ctx
```

```
(* empty context *)
```

```
val empty : ctx
```

```
(* update ctx x t: updates context ctx by binding variable x to type t *)
```

```
val update : ctx -> x -> t -> ctx
```

```
(* look ctx x: retrieves the type t associated with x in ctx
```

```
*          raises NotFound if x does not appear in ctx *)
```

```
exception NotFound
```

```
val look : ctx -> x -> t
```

# Auxiliary Functions

```
(* const c is the type of constant c *)
```

```
let const (c : c) : t =
```

```
  match c with
```

```
  | Int i -> IntT
```

```
  | Bool b -> BoolT
```

```
(* op o = (t1, t2, t3) when o has type t1 -> t2 -> t3 *)
```

```
let op (o : o) : t =
```

```
  match o with
```

```
  | Plus -> (IntT, IntT, IntT)
```

```
  | ...
```

```
(* use err s to signal a type error with message s *)
```

```
exception TypeError of string
```

```
let err s = raise (TypeError s)
```

# Simple Rules

(\* type check expression e in ctx, producing t \*)

let rec check (ctx : ctx) (e : e) : t =

match e with

| Const c -> const c

| Op (e1, o, e2) ->

let (t1, t2, t) = op o in (\* op : t1 -> t2 -> t \*)

let t1' = check ctx e1 in

let t2' = check ctx e2 in

if (t1 = t1') && (t2 = t2') then

t

else

err "bad argument to operator"

$$\frac{\text{const}(c) = t}{G \vdash c : t}$$
$$\frac{\begin{array}{l} \text{optype}(o) = (t1, t2, t3) \\ G \vdash e1 : t1 \\ G \vdash e2 : t2 \end{array}}{G \vdash e1 \ o \ e2 : t3}$$

# Simple Rules

(\* type check expression e in ctx, producing t \*)

```
let rec check (ctx : ctx) (e : e) : t =
```

```
  match e with
```

```
  | Var x ->
```

```
    begin
```

```
      try look ctx x with
```

```
        NotFound -> err ("free variable: " ^ x)
```

```
    end
```

$G \vdash x : G(x)$

# Function Typing

(\* type check expression e in ctx, producing t \*)

let rec check (ctx : ctx) (e : e) : t =

match e with

| Fun (x,t,e) ->

ArrT t (check (update ctx x t) e)

$$\frac{G, x:t \vdash e : t_2}{G \vdash \lambda x:t. e : t \rightarrow t_2}$$

Notice that if we did not have the type  $t$  as a typing annotation we would not be able to make progress in our type checker at this point. We need to have a type for the variable  $x$  in our context in order to recursively check the expression  $e$

# Function Typing

```
(* type check expression e in ctx, producing t *)
```

```
let rec check (ctx : ctx) (e : e) : t =
```

```
  match e with
```

```
  | Call (e1, e2) ->
```

```
    begin
```

```
      let t1 = check ctx e1 in
```

```
      match t1 with
```

```
      | ArrT (targ, tresult) ->
```

```
        let t2 = check ctx e2 in
```

```
        if targ = t2 then tresult
```

```
        else err "bad argument to function"
```

```
      | _ -> err "not a function in call position"
```

```
    end
```

$$\frac{G \vdash e1 : \text{targ} \rightarrow \text{tresult}}{G \vdash e1 e2 : \text{tresult}}$$
$$G \vdash e2 : \text{targ}$$
$$G \vdash e1 e2 : \text{tresult}$$



# Exercise: Other Rules

```
(* type check expression e in ctx, producing t *)
```

```
let rec check (ctx : ctx) (e : e) : t =
```

```
  match e with
```

```
  | If (e1, e2, e3) -> ...
```

```
  | Let (x, e1, e2) -> ...
```

# TYPE INFERENCE

# Robin Milner



Robin Milner  
Turing Award, 1991

For three distinct and complete achievements:

1. LCF, the mechanization of Scott's Logic of Computable Functions, probably the first theoretically based yet practical tool for machine assisted proof construction;
2. ML, the first language to include polymorphic type inference together with a type-safe exception-handling mechanism;
3. CCS, a general theory of concurrency.

In addition, he formulated and strongly advanced full abstraction, the study of the relationship between operational and denotational semantics.

We will be studying Hindley-Milner type inference. Discovered by Hindley, rediscovered by Milner. Formalized by Damas. Broken several times when effects were added to ML.

# Language Design for Type Inference

The ML language and type system is designed to support a very strong form of type inference.

```
let rec map f l =  
  match l with  
    [ ] -> [ ]  
  | hd::tl -> f hd :: map f tl
```

It's very convenient we don't have to annotate `f` and `l` with their types, as is required by our type checking algorithm.

# Language Design for Type Inference

The ML language and type system is designed to support a very strong form of type inference.

```
let rec map f l =  
  match l with  
    [ ] -> [ ]  
  | hd::tl -> f hd :: map f tl
```

ML finds this type for map:

```
map : ('a -> 'b) -> 'a list -> 'b list
```

# Language Design for Type Inference

```
map : ('a -> 'b) -> 'a list -> 'b list
```

We call this type the *principal type (scheme)* for map.

Any other ML-style type you can give map is *an instance* of this type, meaning we can obtain the other types via *substitution* of types for parameters from the principle type.

E.g.:

```
(bool -> int) -> bool list -> int list
```

```
('a -> int) -> 'a list -> int list
```

```
('a -> 'a) -> 'a list -> 'a list
```

# Language Design for Type Inference

Principal types are great:

- the type inference engine can make a *best choice* for the type to give an expression
- the engine doesn't have to guess (and won't have to guess wrong)

The fact that principal types exist is surprisingly brittle. If you change ML's type system a little bit in either direction, it can fall apart.

# Language Design for Type Inference

Suppose we take out polymorphic types and need a type for `id`:

```
let id x = x
```

Then the compiler might guess that `id` has one (and only one) of these types:

```
id : bool -> bool
```

```
id : int -> int
```



# Language Design for Type Inference

Suppose we take out polymorphic types and need a type for `id`:

```
let id x = x
```

Then the compiler might guess that `id` has one (and only one) of these types:

```
id : bool -> bool
```

```
id : int -> int
```

But later on, one of the following code snippets won't type check:

```
id true
```

```
id 3
```

So whatever choice is made, a different one might have been better.

# Language Design for Type Inference

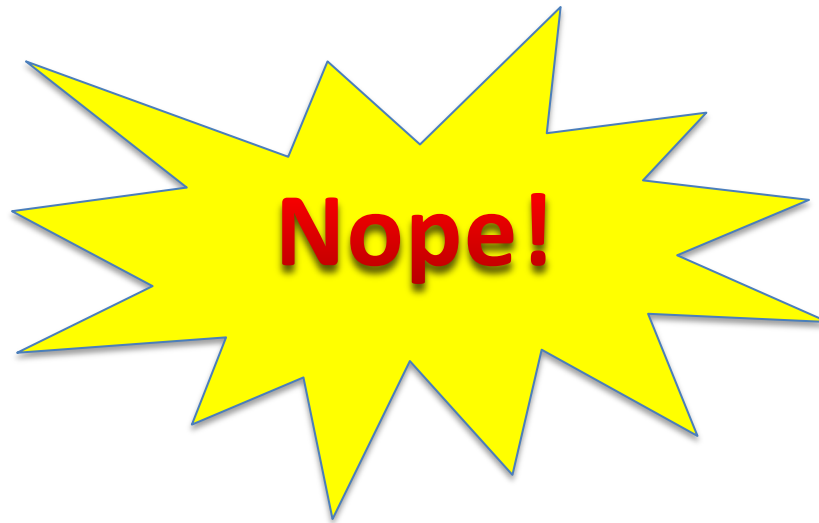
We showed that removing types from the language causes a failure of principal types.

Does adding more types always make type inference easier?

# Language Design for Type Inference

We showed that removing types from the language causes a failure of principle types.

Does adding more types always make type inference easier?



# Language Design for Type Inference

OCaml has universal types on the outside (“prenex quantification”):

```
forall 'a,'b. ( ('a -> 'b) -> 'a list -> 'b list )
```

It does not have types like this:

```
( forall 'a.'a -> int ) -> int -> bool
```



argument type has its own polymorphic quantifier

# Language Design for Type Inference

Consider this program:

```
let f g = (g true, g 3)
```

notice that parameter *g* is used inside *f* as if:

- 1. its argument can have type bool, *AND*
  2. its argument can have type int

# Language Design for Type Inference

Consider this program:

```
let f g = (g true, g 3)
```

notice that parameter  $g$  is used inside  $f$  as if:

- 1. its argument can have type `bool`, **AND**
- 2. its argument can have type `int`

Does the following type work?

```
f: ('a -> int) -> int * int
```

# Language Design for Type Inference

Consider this program:

```
let f g = (g true, g 3)
```

notice that parameter  $g$  is used inside  $f$  as if:

1. it's argument can have type `bool`, **AND**
2. it's argument can have type `int`

Does the following type work?

```
f: ('a -> int) -> int * int
```

**NO:** this says  $g$ 's argument can be any type `'a` (it could be `int` or `bool`)

**Consider**  $g$  is `(fun x -> x + 2) : int -> int`.

Unfortunately,  $f\ g$  goes wrong when  $g$  applied to `true` inside  $f$ .

# Language Design for Type Inference

Consider this program again:

```
let f g = (g true, g 3)
```

We might want to give it this type:

```
f : (forall a.a->a) -> bool * int
```

Notice that the universal quantifier appears left of ->



# Language Design for Type Inference

**System F** is a lot like OCaml, except that it allows universal quantifiers in any position. It could type check f.

```
let f g = (g true, g 3)
```

```
f : (forall a.a->a) -> bool * int
```

Unfortunately, type inference in System F is undecidable.

# Language Design for Type Inference

**System F** is a lot like OCaml, except that it allows universal quantifiers in any position. It could type check f.

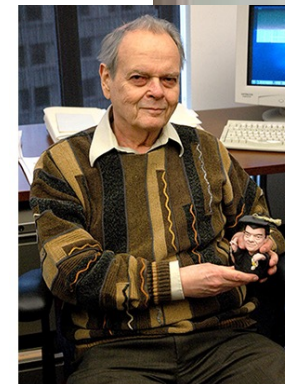
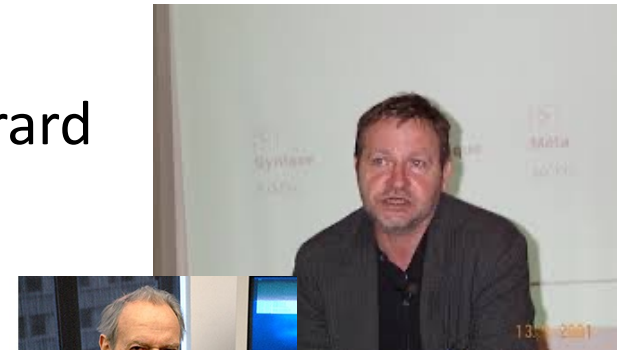
```
let f g = (g true, g 3)
```

```
f : (forall a.a->a) -> bool * int
```

Unfortunately, type inference in System F is undecidable.

Developed in 1972 by logician Jean Yves-Girard who was interested in the consistency of a logic of 2<sup>nd</sup>-order arithmetic.

Rediscovered as programming language by John Reynolds in 1974.



John C. Reynolds (John Barna photo)

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Suppose "+" operated on both floats and ints. What type for this?

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No type in OCaml's type system works. In Haskell:

```
f : Num 'a => 'a -> 'a
```