Algorithms



ROBERT SEDGEWICK | KEVIN WAYNE

1.3 STACKS AND QUEUES

see next lecture and precept

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Fundamental data types.



Stack. Remove the item most recently added. Queue. Remove the item least recently added.

- \leftarrow LIFO = "last in first out"
- \leftarrow FIFO = "first in first out"





Deque. Remove either the most recently or the least recently added item. Randomized queue. Remove a random item.



Your job.

- Identify a data structure that meets the performance requirements.
- Implement it from scratch.





Data type design: API, client, and implementation

Separate client and implementation via API.



API: operations that characterize the behavior of a data type. **Client:** code that uses a data type through its API. Implementation: code that implements the API operations.

Benefits.

- develop and maintain reusable code. • Design:
- Performance: substitute faster implementations.

Ex. Stack, queue, priority queue, symbol table, set, union-find, ...

Implementation

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1.3 STACKS AND QUEUES

stacks

queues

► generics

iterators

resizing arrays



Warmup API. Stack of strings data type.

public class	StackOfStrings	
	<pre>StackOfStrings()</pre>	create an empty stack
void	<pre>push(String item)</pre>	add a new string to st
String	pop()	remove and return the most recently added
boolean	isEmpty()	is the stack empty?
int	size()	number of strings on

Performance goals. Every operation takes $\Theta(1)$ time; stack with *n* items uses $\Theta(n)$ memory.

Warmup client. Reverse a stream of strings from standard input.





Function-call stack demo





function-call stack



How to implement efficiently a stack with a singly linked list?



Both A and B. С.

D. Neither A nor B.







Stack: linked-list implementation

- Maintain pointer first to first node in a singly linked list.
- Push new item before first.
- Pop item from first.









Stack pop: linked-list implementation



save item to return

String item = first.item;



garbage collector reclaims memory when no remaining references

return saved item

return item;



nested class

Stack push: linked-list implementation





initialize the instance variables in the new Node









Stack: linked-list implementation

```
public class LinkedStackOfStrings {
  private Node first = null;
  private class Node {
     private String item;
     private Node next;
  public boolean isEmpty() {
     return first == null;
  public void push(String item) {
     Node oldFirst = first;
     first.item = item;
     first.next = oldFirst;
  public String pop() {
     String item = first.item;
     first = first.next;
     return item;
```

private nested class (access modifiers for instance variables of such a class don't matter)

no Node *constructor explicitly defined* ⇒ *Java supplies default no-argument constructor*



Stack: linked-list implementation performance

Proposition. Every operation takes $\Theta(1)$ time.

Proposition. A LinkedStackOfStrings with *n* items has *n* Node objects and uses $\sim 40 n$ bytes.



Remark. This counts the memory for the stack itself, including the string references. [but not the memory for the string objects, which the client allocates]

16 bytes (object overhead)

8 bytes (non-static nested class extra overhead)

8 bytes (reference to String)

8 *bytes* (*reference to* Node)

40 bytes per stack Node



How to implement efficiently a fixed-capacity stack with an array?





C. Both A and B.

D. Neither A nor B.



ell	null	null	null
	7	8	9

11	null	null	null
	7	8	9



Fixed-capacity stack: array implementation

- Use array s[] to store n items on stack.
- Push: add new item at s[n].
- Pop: remove item from s[n-1].



Defect. Stack overflows when n exceeds capacity. [stay tuned]



capacity = 10



```
public class FixedCapacityStackOfStrings {
   private String[] s;
   private int n = 0;
   public FixedCapacityStackOfStrings(int capacity) {
      s = new String[capacity];
                                              a cheat
                                             (stay tuned)
   public boolean isEmpty() {
      return n == 0;
   public void push(String item) {
      s[n++] = item;
                                            post-increment operator:
                                            use as index into array;
                                            then increment n
   public String pop() {
      return s[--n];
                                            pre-decrement operator:
                                            decrement n;
                                            then use as index into array
```



Underflow. Throw exception if pop() called when stack is empty. **Overflow.** Use "resizing array" for array implementation. [next section] Null items. We allow null items to be added.

Loitering. Holding an object reference when it is no longer needed.

	I	have	а	dream	today	!	null	null	null	null
	0	1	2	3	4	5	6 n	7	8	9
pu }	blic S [.] returi	tring p n s[n	op() {];			publi St s[c Stri ring i n-1] =	ng pop(tem = s null;	() { ;[n-1];	
		oitering				re }	eturn i	tem;		

no loitering





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queues

► generics

iterators

resizing arrays



Problem. Requiring client to provide capacity does not implement API! **Q.** How to grow and shrink array?

Naive approach.

- Push: increase length of array s[] by 1.
- Pop: decrease length of array s[] by 1.

Too expensive.

- Need to copy all items to a new array, for each push/pop.
- Array accesses to add item k: $1 + 2(k 1) \leftarrow$
- Array accesses to add first *n* items: n + (0 + 2 + 4 + 4)



Challenge. Ensure that array resizing happens infrequently.

to copy k–1 *elements from old array to new array (ignoring cost to create new array)*

$$-6 + \dots + 2(n-1)) \sim n^2$$

array resizing to lengths $1, 2, 3, 4, \ldots, n$

infeasible for large n



Q. How to grow array?

A. If array is full, create a new array of twice the length, and copy items.

```
public class ResizingArrayStackOfStrings {
  private String[] s;
  private int n = 0;
  public ResizingArrayStackOfStrings() {
     s = new String[1];
  public void push(String item) {
    s[n++] = item;
  private void resize(int capacity) {
     String[] copy = new String[capacity];
    for (int i = 0; i < n; i++)
       copy[i] = s[i];
     s = copy;
```

"repeated doubling"



helper method does the resizing





- **Q.** Can I use a growth factor other than $\alpha = 2$?
- A. Yes.
 - Java ArrayList and C++ STL vector use $\alpha = 1.5$.
 - Python list uses $\alpha = 1.125$.
 - •

"repeated doubling"

array resizing to lengths 2, 4, 8, 16, ..., *n*

feasible for large n



Q. How to shrink array?

First try.

- Push: double length of array s[] when array is full.
- Pop: halve length of array s[] when array is one-half full.

Too expensive for some sequences of operations.

- Consider alternating sequence of push and pop operations, starting when array is full.
- Each operation takes $\Theta(n)$ time.





Q. How to shrink array?

Efficient solution.

- Push: double length of array s[] when array is full.
- Pop: halve length of array s[] when array is one-quarter full.

```
public String pop() {
    String item = s[--n];
    s[n] = null;
    if (n > 0 && n == s.length/4)
        resize(s.length/2);
    return item;
}
```

Proposition. Starting from an empty stack, any sequence of *m* push/pop operations takes $\Theta(m)$ time. Intuition. After array resizes to *n*, at least $\Theta(n)$ push/pop operations before next array resizing.



so, on average, each

Worst-case analysis. Worst-case running time for an individual operation.

Amortized analysis. Worst-case running time for a sequence of operations.

- Amortized cost per operation = total cost / # operations.
- Provides more realistic analysis when some operations are expensive but rare. Enough for most applications, but not all (e.g., real time, pacemakers, nuclear reactors).

	worst	amortized
construct	1	1
push	n	1
рор	n	1
size	1	1

order of growth of running time for resizing-array stack with n items



Bob Tarjan (1986 Turing award)

- ortized running time per operation is $\Theta(1) \iff$ rst case running time for any sequence of n operations is $\Theta(1) \cdot n = \Theta(n)$.
- erage running time per operation is $\Theta(1)$. wever, the worst case per operation can be $\Theta(n)$.



Stack resizing-array: memory usage

Proposition. A ResizingArrayStackOfStrings with n items use between ~ 8n and ~ 32n bytes of memory.

- Always between 25% and 100% full.
- ~ 8n when full. [array length = n]
- ~ 32n when one-quarter full. [array length = 4n]



Remark. This counts the memory for the stack itself, including the string references. [but not the memory for the string objects, which the client allocates]

Stack implementations: resizing array vs. linked list

Tradeoffs. Can implement a stack with either resizing array or linked list. Which is better?

Linked-list implementation.

- Stronger performance guarantee.
- More memory.

Resizing-array implementation.

- Weaker performance guarantee.
- Less memory.
- Better use of cache.

accessing adjacent memory locations (e.g., in an array) is much faster than accessing nonadjacent *memory locations (e.g., in a linked list)*

a[]



I	have	a	dream	null	null	null	null
				n – 4			



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► stacks

queues

► generics

iterators

M

resizing arrays



Queue of strings API

enqueue 🔶	Н	F	E	D	С	В
public class	Queue0	fStri	ings			
	QueueC	fStr	ings()		crec	ate an o
void	enqueu	e(St	ring i	tem)	add	a new
String	dequeu	e()			rem	ove an
boolean	isEmpt	:y()			is th	ie quei
int	size()				ทนท	iber of

Performance goals. Every operation takes $\Theta(1)$ time; queue with *n* items uses $\Theta(n)$ memory.



empty queue

v string to queue

nd return the string least recently added

ue empty?

f strings on the queue



How to implement efficiently a queue with a singly linked list?



Both A and B. C.

D. Neither A nor B.





Queue: linked-list implementation

- Maintain one pointer first to first node in a singly linked list.
- Maintain another pointer last to last node.
- Dequeue from first.
- Enqueue after last.







Queue dequeue: linked-list implementation

Remark. Code is identical to pop().



save item to return

String item = first.item;



return saved item

return item;



nested class

Queue enqueue: linked-list implementation

save a link to the list
Node oldLast = last;



create a new node at the end

last = new Node();
last.item = "dream";



link together

oldLast.next = last;



private class Node {
 private String item;
 private Node next;
}







Queue: linked-list implementation

```
public class LinkedQueueOfStrings {
  private Node first, last;
  private class Node {
     /* same as in LinkedStackOfStrings */
   public boolean isEmpty() {
      return first == null;
  public void enqueue(String item) {
     Node oldLast = last;
     last = new Node();
     last.item = item;
     last.next = null;
     if (isEmpty()) first = last;
                    oldLast.next = last;
     else
  public String dequeue() {
     String item = first.item;
                 = first.next;
     first
      if (isEmpty()) last = null; 
     return item;
```

corner case: add to an empty queue
(don't forget to update first)

corner case: remove down to an empty queue (avoid loitering)

Queue: resizing-array implementation

Goal. Implement a queue using a resizing array so that, starting from an empty queue, any sequence of *m* operations takes $\Theta(m)$ time.











Queue: resizing-array implementation

Goal. Implement a queue using a resizing array so that, starting from an empty queue, any sequence of *m* operations takes $\Theta(m)$ time.







null	null
8	9

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1.3 STACKS AND QUEUES

► stacks

queues

generics

iterators

resizing arrays



We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfApples, StackOfOranges, ...

Solution in Java: generics.

Guiding principle: prefer compile-time errors to run-time errors.

type parameter (*use to specify type and call constructor*)





Generic stack: linked-list implementation

```
public class LinkedStackOfStrings {
  private Node first = null;
  private class Node {
     String item;
     Node next;
  public boolean isEmpty() {
      return first == null;
  public void push(String item) {
     Node oldfirst = first;
     first = new Node();
      first.item = item;
     first.next = oldfirst;
  public String pop() {
     String item = first.item;
      first = first.next;
      return item;
```



generic stack (linked list)



The way it should be.

```
public class FixedCapacityStackOfStrings {
                                                 public class FixedCapacityStack<Item> {
   private String[] s;
                                                    private Item[] s;
   private int n = 0;
                                                    private int n = 0;
   public Fixed...0fStrings(int capacity)
                                                    public FixedCapacityStack(int capacity)
                                                                                                    @#$*! generic array creation
                                                    { s = new Item[capacity]; } 
   { s = new String[capacity]; }
                                                                                                       not allowed in Java
   public boolean isEmpty()
                                                    public boolean isEmpty()
   { return n == 0; }
                                                    { return n == 0; }
                                                    public void push(Item item)
   public void push(String item)
   { s[n++] = item; }
                                                    { s[n++] = item; }
  public String pop()
                                                    public Item pop()
   { return s[--n]; }
                                                    { return s[--n]; }
                                                 }
```

stack of strings (fixed-length array)

generic stack (fixed-length array) ???





The way it should be.

```
public class FixedCapacityStackOfStrings {
  private String[] s;
  private int n = 0;
   public Fixed...0fStrings(int capacity)
   { s = new String[capacity]; }
  public boolean isEmpty()
   { return n == 0; }
  public void push(String item)
   { s[n++] = item; }
  public String pop()
   { return s[--n]; }
                                               }
```

stack of strings (fixed-length array)



generic stack (fixed-length array)



Unchecked cast



Q. Why does Java require a cast (or reflection)? Short answer. Backward compatibility. Long answer. Need to learn about type erasure and covariant arrays.





How to declare and initialize an empty stack of integers in Java?

A. Stack stack = new Stack<int>();

B. Stack<int> stack = new Stack();

C. Stack<int> stack = new Stack<int>();

D. *None of the above.*





Q. What to do about primitive types?

Wrapper type.

- Each primitive type has an associated "wrapper" reference type.
- Ex: Integer is wrapper type associated with int.

Autoboxing. Automatic cast from primitive type to wrapper type. Unboxing. Automatic cast from wrapper type to primitive type.

```
Stack<Integer> stack = new Stack<Integer>();
stack.push(17); // stack.push(Integer.value0f(17));
int a = stack.pop(); // int a = stack.pop().intValue();
```

Bottom line. Client code can use generic stack for any type of data. **Caveat.** Performance overhead for primitive types.

Java's library of collection data types.

- java.util.LinkedList [doubly linked list]
- java.util.ArrayList [resizing array]

This course. Implement from scratch (once). **Beyond.** Basis for understanding performance guarantees.

Best practices.

- Use Stack and Queue in algs4.jar for stacks and queues to improve design and efficiency.
- Use java.util.ArrayList or java.util.LinkedList when other ops needed. (but remember that some ops are inefficient)

OVERVIEW	MODULE	PACKAGE	CLASS	USE TRE	E DEPRECATE	D NDEX	HELP
ALL CLASS	ES				5	SEARCH:	্ >
SUMMARY:	NESTED	IELD CONS	TR METI	HOD DE	TAIL: FIELD C	ONSTR M	IETHOD
Module	java.bas	e					
Packag	je java.ut	il					
Class	Array	List <e></e>					
java.lan java	ig.Object a.util.Absi java.util. java	ractCollect AbstractLis .util.ArrayL	tion <e> st<e> ist<e></e></e></e>				
Type Pa	rameters:						
E - the t	ype of ele	ements in t	his list				
All Impl	emented	interfaces:					
Serial	izable, C	loneable.	Iterabl	e <e>, Col</e>	lection <e>,</e>	List <e></e>	, RandomAccess
Direct K	nown Sut	classes:					
Attrib	uteList,	RoleList,	RoleUnr	esolvedL	ist		
public extends	class A s Abstra	rrayList« ctList <e></e>	E≻				
implem	ents Lis	t <e>, Ran</e>	domAcce	ss, Clon	eable, Ser	ializabl	e
Resizab operation List introduced introduced that it is	le-array i ons, and p terface, th ternally to s unsynch	mplements permits all his class pr p store the pronized.)	ation of t element rovides n list. (Thi	he List i s, includi ne:hods to is class is	nterface. Im ng null. In a p manipulate roughly equ	plements ddition to the size ivalent to	all optional list o implementing the of the array that is o Vector, except
The siz constan	e, isEnpi	ty, get, se he add oper	t, itera ration ru	tor, and	listIterato	r operati ant time,	ions run in that is, adding n

ments requires O(n) time. All of the other operations run in linear time (roughly speaking). The constant factor is low compared to that for the LinkedList implementation

OVERVIEW MODULE PACKAGE	CLASS	USE	TREE	DEPRECATED	INDEX	HEL
ALL CLASSES				SEARCH:	م. i	
SUMMARY: NESTED FIELD CONS	HOD	DETAIL: FIELD CONSTR METHO				
Module java.base Package java.util						

Class LinkedList<E>

java.lang.Object java.util.AbstractCollection<E> java.util.AbstractList<E> java.util.AbstractSequentialList<E> java.util.LinkedList<E>

Type Parameters:

E - the type of elements held in this collection

All Implemented Interfaces:

Serializable, Cloneable, Iterable<E>, Collection<E>, Deque<E>, List<E>, Queue<E>

public class LinkedList<E> extends AbstractSequentialList<E> implements List<E>, Deque<E>, Cloneable, Serializable

Doubly-linked list implementation of the List and Deque interfaces. Implements all optional list operations, and permits all elements (including null).

All of the operations perform as could be expected for a doubly-linked list. Operations that index into the list will traverse the list from the beginning or the end, whichever is closer to the specified index.





Credits

image

Assignment Logo	ŀ
Stack of Books	
No Loitering	sig
Bob Tarjan	<u>He</u>
Long Queue Line	
Stack of Apples	
Stack of Fruit	
Queue of People	
Stack of Sweaters	
ChatGPT Phone	

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A final thought

Linked lists, nodes connected with care, Arrays resizing, with memory to spare. Organizing data, their only need, Helping us, with efficiency indeed. "

